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EXHIBITS

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887	2404		Affidavit of HIRATA, Yukihiro	19276	
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	Thursday, 3 April 1947
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3	INTERNATIONAL MILITARY TRIBUNAL
4	FOR THE FAR EAST
5	Court House of the Tribunal War Ministry Building Tokyo, Japan
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8	The Tribunal met, pursuant to adjournment,
9	at 0930.
10	
11	Appearances:
12	For the Tribunal, same as before.
13	For the Prosecution Section, same as before.
14	For the Defense Section, same as before.
15	
16	(English to Japanese and Japanese
17	to English interpretation was made by the
18	Language Section, IMTFE.)
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Whalen & Wolf

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MARSHAL OF THE COURT: The International Military Tribunal for the Far East is now in session.

THE PRESIDENT: All the accused are present except OKAWA, MATSUI and TOGO who are represented by counsel. The prison surgeon at Sugamo certifies that MATSUI and TOGO are unable to attend the trial today because of illness. The certificate will be recorded and filed.

Counsel BANNO.

MR. BANNO: Before continuing where we left off yesterday, I have one request to make to the Court. The two documents presented yesterday, namely, exhibit 2401 and exhibit 2403; the former is the letter left by General HONJO and the second one, the latter, the True Nature of the Manchurian Incident. These two documents were locked up in the safe of the HONJO family.

The family -- General HONJO's family was of the opinion that these are precious remnants of the late General HONJO and they should not be made public at all. We made special requests to the family: and presected those two documents because the case refered to is included in the Indictment and it is concerned with significant matters.

THE MONITOR: Slight correction: We presented

these documents because we believe that these docu-1 ment have vital bearing on the issue as indicated in 2 the Indictment, and also these documents are material 3 to the issues. 4 MR. BANNO: (Continuing) It is my request 5 for defense counsel that those two documents may be 6 returned -- the original of those two documents 7 may be returned to the family and a copy, if necessary 8 a photographic copy, should be allowed to replace those 9 original ones. 10 THE PRESIDENT: Is that all you wish to say? 11 MR. BANNO: Yes, your Honor. 12 THE PRESIDENT: I do not see any urgency about 13 this matter at all. The originals will remain in the 14 custody of the Court until the Court otherwise directs. I will have to consult all the Members of the Court. 16 Proceed to read that last exhibit. 17 18 KYOICHI KAWAMURA, called as a witness 19 20 on behalf of the defense, resumed the stand and testified through Japanese interpreters 21 22 as follows: 23 MR. BANNO: Before proceeding with the reading of this exhibit I would like to state that the

last paragraph of this document will be omitted because

1 it contains opinion.

DIRECT EXAMINATION

BY MR. BANNO (Continued):

"The True Nature of the Manchurian Incident
"Written by HONJO, Shigeru in early October,

"Introduction

"All the records and materials of my own in regard to the Manchurian Incident were incinerated during the air raids. I am obliged, therefore, in writing these notes, to rely on my memory. More-over, as the Incident happened some fourteen years ago I cannot claim that the dates, places, sequence and other minor points are infallible. I am very sure, however, that there is no mistake in the true nature of the Incident and the quality of my conviction at the time I encountered it.

"Chapter I

"General Situation Before the Outbreak of the Incident

"I was appointed the Commander-in-Chief of the Kwantung Army in August of the 6th year of showa (1931) when anti-Japanese movements in China which had steadily become aggravated were getting much worse in spite of Foreign Minister SHIDEHARA's (the

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present Premier) carnest co-operative policy. This tendency was especially strong in Manchuria, and unhappy incidents occurred day by day. Just before my arrival at the new post, moreover, serious incidents occurred one on top of another and remained unsettled. There were such incidents as the slaughter by the Jun-Ken unit of Captain NAKAMURA and Sergeant-Major ISUGI, who held proper visas, and the ill-treatment of Korean farmers at Wanpao-shan through the joint action of the Manchurian Army, officials and people. I was in great anxiety over such a serious situation in Manchuria.

"My anxiety was deepened all the more by my first inspection of the units under my command which was made just after my arrival. There is no doubt that according to the Commercial Lease which was acquired during Foreign Minister KOMURA's tenure of office subjects of the Japanese Empire had the right to dwell and trade not only in the areas belonging the the South Manchuria Railway Company and commercial ports but also in the interior. Nevertheless I saw with my own eyes that even those who were engaging in the medical profession, a cultural work, dealing with inhabitants in Manchuria were unable to reside in the above mentioned areas belonging to the south

Manchurian Railway Company and commercial ports which were nothing but points and a line. As the insults and persecutions of Imperial subjects, moreover, spread to these areas, military protection was required even for boys and girls of primary schools on their way to and from school, and in the areas where no troops were stationed schools could not help being closed indefinitely or abolished. Accordingly, Imperial subjects in Manchuria comprising the Japanese and Koreans, who amounted to 1,200,000 or 1,300,000 several years before that time, went back to the homeland in succession. Consequently they amounted to less than 1,000,000 at the time when the Incident broke out, and most of them were second generation people who had no professions or homes to go back to.

"According to the Imperial government's policy of avoiding friction with the Manchurian side as much as possible our Kwantung army exhausted every means in order to use caution in order to soften the feeling. For instance, our soldiers did not go out from the areas belonging to the bouth Manchurian Railway Company or commercial ports except when military maneuvers were held, and moreover, even during military maneuvers they did not carry ammunition. Neverthelesss, when the Japanese Army held maneuvers the Manchurian military authorities demanded to be notified in advance

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of the place and the date for maneuver ignoring the agreement regarding the right of non-notification. When we announced them in advance complying with this demand, they held maneuvers at the same time and place using our notification to their advantage and making our maneuver difficult or impossible to hold. There were, moreover, even some soldiers who conducted demonstrations and made threats to us taking advantage of our not carrying ammunition, and so they caused further trouble. They even prohibited us at last from holding maneuvers in the places which were not in areas belonging to the South Manchurian Railway Company or the commercial ports, and refused, furthermore, our request for the loan or transfer of specified places for maneuvers in place of the prohibited ones. Thus our drill upon which the Army itself depends was tractically checked. In the meantime, violence and insults to Imperial subjects and soldiers were intensified everywhere. As these untoward troubles and breaches of the law arose in great numbers every moment was a crisis full of dynamite at the time I arrived at the post. "Chapter 2

"Outline of the Incident

"On the 18th of September (1931), the month

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after my arrival at the post, Manchurian troops who were stationed at Peitaiving in the suburbs of Mukden destroyed with explosives in the neighborhood of Rintiaokou, the Fouth Manchurian Railway tracks which ran through the western border of that camp. Thus the crisis full of dynamite exploded at last.

"At that time some of our forces which were practicing railway defense drill at a place not far from the spot hastily went there and drove out the hostile troops who were committing the outrage. At the same time we returned the attack of enemy forces that were covering the retreat of those who had committed the outrage, and with the assistance of units from the rear our army finally succeeded in bottling them up in Peitaiying.

"Receiving an urgent dispatch concerning the above, I immediately issued the necessary order to the forces under my command stationed at various points to use force. This was done without having enough time to ask instructions from headquarters. Among forces under my control, however, there were some which started action before the arrival of my orders, and there were some that started attacks previous to the enemy's offensive.

"In this way, the Kwantung Army took the

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offensive all along the line. Now, why did I arbitrarily issue orders to use force to the forces under my control? Why were there some forces which started activities before the arrival of my orders, and why were there some units which started attacks previous to the enemy's offensive?

"It is needless to say that the first duty of the Kwantung Army was to protect the railway belonging to the South Manchuria Railway Company. And this Army was one which, stationed overseas, had also the duties of protecting the residents' lives and property, the interests of its own country and of defending the Army itself. These duties were not inferior to the first duty in importance. According to regulations, the responsibility and right of performing these duties were entrusted to not only me but to all successive commanders-in-chief of the Kwantung Army. The situation at that time, moreover, was so critical that we could not help believing that any new occurrence caused by the Manchurian side as mentioned above suggested Pan-Manchurian planned activity.

"What further stirred up trouble was the blowing up of the railway line. As Rintiaokou was located near Peitaiying, Manchurian soldiers in that camp stele along the railway and frequently attempted to overturn trains by placing obstructions on the lines. But this was the first time that they had committed such an action as a blowing up. Japanese residents in Manchuria, decreased in number as they were, amounted to at that time about one million, including Koreans, and the Imperial interests in Manchuria

at that time really constituted a matter which would seal the fate of the Empire.

"The military strength of the Kwantung Army which should protect not only all the lines of the South Manchuria Railway but also the above mentioned interests and had to defend the Army itself was composed of one peace-footing division and six independent defense battalions and numbered only 15,000 or 16,000. On the other side the military strength of the Manchurian side numbered as many as 200,000, and so it was evident that not only the South Manchuria hailway but also our army, residents and interests would have been ruined if the army had idly hesitated. This was the reason why I issued to the units under my control orders to use force without asking for instructions from headquarters with the responsibility and the right which had been naturally given to me. This is why there were some forces which started activities before the arrival of my orders, and why there were some forces which started attacks previous to the enemy's offensive. At the same time, I believe that no matter what country it may belong to this is in keeping with the character of any army called upon to defend itself against a large force with far smaller numbers.

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"Since then the affair assumed increasing proportions and extended to such areas as Chinchow, Nonkiang, Harbin and Chichihar which were isolated from the areas along the South Manchuria Railway. Although this matter became the target of adverse criticism from all quarters, it could not be helped for an army, especially for an army inferior in strength. to try to defeat enemy forces previous to its attack, since the Manchurian Military occupied important positions, having a big army at its command and directed its attacking pivot toward the areas along the South Manchuria Railway and districts of important interests or attempted to harass these areas persistently and, moreover, lives and properties of Imperial residents in the interior who had not been able to take refuge in the areas belonging to the South Manchuria Railway Company were at stake and petitions for aid had arrived frequently. It is still fresh in my memory that the military representative of the Lytton Investigation Committee truly understood this point very well when the committee made its field investigation.

"In this way I did not avoid the use of force so far as it was unavoidable, but I did not hesitate to make efforts to avoid the use of force so far as

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it was avoidable. The peaceful settlement of the affair in Kilin province by persuading General Hsi Chia, Chief of the Staff of the Kilin province army and the prevention from counter-attack of General Chang Hai-peng and Yu Chih-shan in Mukden province and General Chang Ching-hui, Commander-in-Chief of the Heilungkiang army and General Ma Chang-shan (who rose in revolt later) in Heilungkiang province were the results of these efforts of mine.

"In spite of these efforts on my part troubles spread all over Manchuria. Really inevitable as it was, the actual holder of power in Manchuria, General Chang Hsueh-liang's stay in Peking at that time deepened this inevitability. I had been with Tsuo-lin, Hsuehliang's father, for three years as his military adviser. During this period of time I had rather close relations with him through seeing him all the time and was well acquainted with his sagacity. If General Hsueh-liang had been in Manchuria at that time, or if such a man as General Yang Yu-ting, who very well understood Sino-Japanese relations, had been in Manchuria, even though General Hsueh-liang was absent, the incident would not have assumed such serious proportions even if we might not have nipped its outbreak in the bud, and on the contrary we could have made the incident a

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stepping stone to restoring normal general relations between Japan and China, changing evil for good. It is really a matter for regret whenever one considers the deterioration of Sino-Japanese relations since that time.

"Chapter 3. Establishment of Manchukuo and Afterwards.

"As mentioned above, the Kwantung Army rose only in self-defense and took action only in selfdefense. At first we did not, therefore, consider 10 any aspect of the problem of Manchuria's future status. These activities of ours, however, did not fail to result in destruction of the Manchurian army as well as the status of Manchuria. We were unable to hope for the stabilization of life and public peace there when there was no order. How could we have expected the safety of our resident nationals' lives and property and of Japanese interests but by the stabilization of life and the public peace? For this reason I supported not only the district self-governing committee which was voluntarily organized by Yuan Ching-kai and others in Mukden within ten days after the outbreak of the incident, but also the self-governing committees of various localities and thus gave impetus to selfgovernment. In addition to this, I supported the

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self-government direction department which was organized by Yu Chung-han and others in Mukden in order to direct self-governing committees all over Manchuria and tried very hard to maintain and improve public peace and life.

"Nevertheless, as these organizations were only makeshift and temporary, some permanent organization had to be established. Indeed, a cry for this arose promptly and suddenly among the self-governing direction department, district self-governing committee circles, and military and civil notables and prominent men in all quarters who know our activities well. It was, of course, a matter which we were longing for and so was quickly developed. I should like to speak here about our desire concerning Manchuria at that time. Co-existence and co-prosperity of Japan and China were absolute necessities for the defense of the Japanese Empire and China or Greater East Asia. At the same time they were the absolute necessities from the point of view of the existence for the Japanese Empire, and this has been, I am sure, our true ideal towards China since the Russo-Japanese War in spite of the warping of the original intention and the very opposite result reached because of the intervention of miscellaneous untoward events, and so forth. It is further recollected that

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since the period of the Ching Dynasty Japanese, including ourselves, took part in the efforts made for the selfstabilization of China though we were only their assistants. It is also recollected that those who most carnestly supported the reform movement since the time of Sun Wen and Hung Hsing were Japanese publicspirited men and that all the prominent figures in the reform movement were pro-Japanese notables or old civil and military government students to Japan. This applied to Manchuria most conspicuously and the Russo-Japanese War was fought only on this ground. Accordingly all that we expected of Manchuria after the outbreak of the incident was not a matter of form, but nothing else than the permanent security and co-existence and prosperity of Japan and China based on the everlasting foundation of normal and harmonious relations between Japan and Manchuria which presupposed the stabilization and prosperity of Manchuria.

"What concrete plan should we adopt? Should we desire General Chang Hsueh-liang's return? The motives which produced the outbreak of the Manchurian Incident existed during General Hsueh-liang's administration. Besides, after things had reached such a pass that the Japanese national feeling would not receive the general's return warmly. And even if they

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had welcomed his return, General Huseh-liang's pride would no longer have made it endurable to accept this welcome. The Chang family was alienated from the people for the abundant reasons that the Chang family's misadministration for two generations since General Tsuo-lin's time and the enormous increase of expenses accompanying their policy of military and administrative advance into the areas inside the Great Wall, and so forth, naturally made the entortionate taxation of Manchurian inhabitants inevitable. The Chang family's return to Manchuria was, therefore, impossible. How about then handing over Manchuria to the Nanking Government? Nothing could have been better than that from the point of view of the importance of Manchuria and the fundamental ideal as to relations between Japan and China. But the original cause of the Manchurian Incident truly existed in the Nanking Government's policy against Japan. Besides, the Nanking Government was still in the course of bringing about and continuing a state of confusion. Consequently, judging from the situation at that time, handing over Manchuria to the Nanking Government would have only meant giving impetus to the anti-Japanese movement and confusion in Manchuria. In the same as the former alternative, therefore, this case was not worth considering as an actual

, possibility. "The opinions of notables and interested persons 3 on the Manchurian side were similar to our own opinion 4 mentioned above. Then Mr. Yu Chung-han and others 5 finally boldly advocated the establishment of a new 6 state. This proposal was unanimously supported on the 7 instant and I. of course, also agreed to it "In history China has nover occupied Manchuria 9 sutstantially, notwithstanding the fact that Manchuria 10 once occupied China completely. The majority of the II inhabitants in Manchuria are, it is true, of the Chinese 12 race, but most of these people have settled in Man-13 churia for several, or more than ten generations, and 14 so they are rather more Manchurian than Chinese in 15 their life and sentiment. As the topography of Manchuria is, moreover, a basin surrounded by great ranges of mountains and great rivers, it is naturally suitable to build as a state. If such a state could be built, then the ideal would be carried out for the first time. In this way on the 1st of March of the 7th year of Showa (1932) the independence of Manchukun was declared, which placed its basis on the way of the king as its skeleton, as this is the essence of Oriental morality, and racial cooperation as its flesh, as this advocated the equality of all the inhabitants, rejecting the

the conception sense of the superiority of the strong, and the conception of oppressing the weak and racial discrimination.

"This being so, I expected independent Manchukuo, as mentioned above, to develop peacefully and soundly. I also expected, of course, that the profound relations between Japan and Manchuria, existing from the time before the Middle Kingdom was established as a state, to become normal and unshakable. I expected not only this, but also that having this foundation Manchukuo would become outwardly an impregnable fortress for the defense of the Orient and inwardly a wedge and model for the co-existence and co-prosperity between Japan and China, and at the same time it would become a modern state worthy of praise from the whole world, not to speak of the whole Orient. In order to accomplish this purpose it was my belief that the people of Manchuria should not fail to cope with the difficulties of the time of transition, and that the Japanese Empire also should not spare sacrifices no matter how great they might be.

"Just after the declaration of the establishment of the state on the 9th of March, Pu-Yi, the former Emperor Hsuan Tung of the Tsing Dynasty, was installed in office as Administrator of Manchukuo.

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From the very fact that the foundation of the establish-1 ment of the state was based upon the cooperation of races, it is clear that Pu-Yi's installation did not 3 4 mean the restoration of the Tsing Dynasty but, on the 5 contrary, it rather precluded the restoration both legally and in sentiment. In fact, Pu-Yi was called 7 and installed in the office of administrator because of his personal character and the Manchurian people's retrospective sentiment for Manchukuo, because he was 10 a descendant of the Tsing Dynasty which came from 11 Manchuria. 12 "(Written in early October, 20th year of Showa. 13 (1945.)" 14 15 16 17 18 19

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THE PRESIDENT: Mr. Tavenner.

IR. TAVENNER: May it please the Tribunal, the last paragraph which was not read I understand is, nevertheless, in evidence.

THE PRESIDENT: It will be disregarded if it expresses an opinion.

IR. TAVENNER: That was the statement of counsel, but according to our contention that paragraph does contain statements of fact, and I wanted to call that to the Tribunal's attention or have it read into the transcript.

THE PRESIDENT: Apparently, you want it read into the transcript; so let it be read; but we will form our own conclusions.

Do I understand that this document is offered, is something in the nature of a dying declaration? Actually, it does not come within that rule because HONJO was not dying when he wrote it, but he did contemplate suicide, perhaps. This could not be admissible under any rules of evidence that we know.

What was HONJO's age when he became Commander in Chief of the Kwantung Army?

MR. BANNO: I think he was around fifty, your Honor.

THE PRESIDENT: Well, read the balance of the statement.

PR. BANNO: I shall proceed to read presently, but may I make one statement before that?

As it is made clear, it was in September, 1945, that late General HONJO decided to commit suicide and drew up his last will. Therefore, after he made a decision to kill himself he wrote this book entitled, "The True Nature of the Manchurian Incident." In my opinion, I believe that General HONJO had already made up his mind to commit sui cide at the time of the end of the war. It was just a matter of deciding what the exact date would be. From ancient times there is a saying which was derived from China. That saying was, "When a bird is about to die it sings the sweetest," and the man says best and utters best words when he is about to die. The meaning of the saying is that even the worst culprit when confronted with death. returns to his real spirit and former spirit and states the true facts.

THE PRESIDENT: Well, you camnot give evidence of that. Read the rest of the document.

NR. BANNO: I have no intention to present that fact in evidence. However, because your Honor

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24 ete."

25 BY IR. BANNO (Continued):

I thought questioned the nature of this document,
I just put in a word or two by way of explanation.
I shall read the remainder of the testimony -statement. I wanted to -- I intended to omit the
parts in which we thought he stated his opinion
after -- his recollection after leaving Nanchuria.
I shall proceed to read.

"After one year's tenure of office I resigned from the post of Commander in Chief of the Kwantung Army. Consequently all major affairs in Manchukuo, not to speak of the execution of the monarchical rule, happened after my resignation. For this reason I am not qualified to write about the situation in Manchukuo after that time. It is a matter for regret that conditions in Manchukuo since then up to now have diverged so greatly from my hopes and expectations and so definitely from the true idea of the original Japanese policy toward Manchuria and China. This was owing to the gradual increase in the number of Japanese Manchukuon officials, the lack of harmony with Manchukuon officials eaused thereby and the frequent changes in the executions of our policy toward Manchukuo,

1 2 3	Q This book entitled, "The True Nature of the Manchurian Incident," is the same as the witness has put down, wrote down?
4	A Yes, it is so.
5	R. BANNO: Your witness.
6	AR. TAVENNER: There are no questions by
7	the prosecution.
8	IR. BANNO: May the witness leave the
9	court, your Honor?
10	THE PRESIDENT: He is dismissed on the
11	usual terms.
12	("hereupon, the witness was
13	excused.)
14	IR. BANNO; I should like to have Counsel
15	YAMADA introduce another witness.
16	MR. YAMADA: I am counsel YAMADA. I request
17	to have the witness HIRATA, Yukihiro be summoned to
18	the court.
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1	YUKIHIRO HIRATA, called as a wit-
2	ness on behalf of the defense, being first
3	duly sworn, testified through Japanese in-
4	terpreters as follows:
5	IR. YAMADA: I request the defense docu-
6	ment 887, that is the affidavit of Witness HIRATA,
7	be shown to him.
8	THE PRESIDENT: Ask him his name and address.
9	DIRECT EXAMINATION
10	BY IT. YAMADA:
11	Q Mr. Witness, please state your name and
12	address.
13	A My name is HIRATA, Yukihiro. My address is
14	No. 51, Tairacho, Meguro-ku, Tokyo City.
15	Q Defense document No. 887, that is supposed
16	to be your affidavit, sworn deposition. Does it
17	state the true facts? Is it actually your sworn
18	deposition?
19	THE PRESIDENT: Do give him the document
20	before you ask him whether it is his.
21	
22	THE WITNESS: Yes, it is mine.
23	MR. YAMADA: I present defense document 887
24	as evidence.
25	THE PRESIDENT: Admitted on the usual terms.

CLERK OF THE COURT: Defense document No. 887

will receive exhibit No. 2404. ("hereupon, the document above referred to was marked defense exhibit No. 2404 and received in evidence.) MR. YAMADA: I shall proceed to read the whole of court exhibit 2404. THE PRESIDENT: Omit the formal parts.

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MR. YAMADA: (Reading)

"3. It was as the Colonel commanding the 29th Infantry Regiment, which belonged to the 2d division, that I was dispatched in April, 1931, to Manchuria together with the headquarters of the same division. My regiment was stationed in Mukden on 16 April and the headquarters of the division (the divisional commander being Lieutenant General TAMON) was stationed at Liaoyang. Since then I served in Manchuria until August, 1932, when, as the result of the regular change of personnel, I was transferred to Japan to serve with the headquarters of the 9th division in the City of Kanazawa. I retired from the active service in 1933. My rank then was Major General.

"4. It was while I was thus serving in Manchuria that the Liutiaokou Incident (Mukden Incident) broke out and by and by developed into the Manchurian Incident.

"5. In those days in Mukden were stationed the 29th Infantry Regiment under my command and the 2d Infantry Battalion (the commander being Lieutenant Colonel SHIMAMOTO, Shoichi) of the Independent Railway Guards. The former was charged with the ordinary duty of the Manchurian service, that is, the

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safeguarding of Japanese rights and interests and the protection of the lines and properties of the Japanese residents around Mukden, while the latter was charged with the duty of guarding the South Manchurian Railway within the assigned area. Since their duties were in different directions, there was some difference in the schemes of operation between the two units. However, for the purpose of effectual cooperation in case of emergency, we often practised joint exercises such as emergency call drills and so on. Being the senior officer in Mukden, I was in a position to control and direct, as the commander of the garrison area around Mukden. In other words, so far as the guarding of the railway was concerned, the commander of the 2d Infantry Battalion of the Independent Guards was under the direct command of, and acted upon the orders of, the Independent Guards Commander so that in no way did I interfere with the guarding; however, with regard to the general defense of the Mukden garrison area, I was authorized to take command over Lieutenant Colonel SHIMAMOTO himself.

"6. The Manchurian Incident broke out more than five months after my arrival in Manchuria with my regiment. Before the outbreak of the Incident, however, there had never been any plot either by myself

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or by any of my subordinates, either among themselves or in league with civilians to create incidents. situation in Manchuria had been so aggravated in those days that the lawful rights and interests of Japan were unlawfully infringed upon, while anti-Japanese and insulting words and acts prevailed all over Manchuria. Stimulated by such, my soldiers were in a highly strained state of mind. In view of the situation as I had watched it since my arrival in the Mukden Province, and in view of my own experiences in the Russo-Japanese War in which I served as a second lieutenant, I not only cautioned my subordinates never to smear the honor of our predecessors but also enforced strict military discipline to prevent any disorderly conducts. About a month before the outbreak of the Manchurian Incident, I was approached by the manager of the Mukden branch office of the South Manchurian Railway Company, the president of the Japanese Residents' Association, and the president of the Japanese Society, who complained that many Japanese school children living in the walled city of Mukden were prevented from attending school because of Manchurians who stoned at them on their way to and from school, and requested me to make a demonstration march in the city, so that this kind of thing would be

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stopped once and for all. However, I was so meticulous as to refuse to comply with this request on the ground that such action might create unnecessary misunderstanding.

"7. Just before the outbreak of the Manchurian Incident, the Northeastern Chinese Army, or the Chang Hsueh-Liang's army, stationed around Mukden, side by side with steady increase in its number, had begun to form encirclement around Japanese barracks in the South Manchurian Railway zone. They consisted of one mixed brigade, about 10,000 strong, at the north barrack; one training regiment of infantry and artillery mixed at the east barrack; in the northwest and southwest districts outside the wall, three battalions belonging to the escort unit (one of the battalions being in Peiping with Chang Hsueh-Liang, and another being stationed in two places as the nucleus units in preparation for the reinforcement of the escort unit into a regiment), and one battalion with infantry, guns, and machine guns; inside the walled city, one guards battalion and a corps of soldiers armed with hand spears; and to the west at Ko-Ku-Ton, one battalion detached from the north barrack. The total of the regular force was about fifteen to sixteen thousand. Besides, a police unit, more than 3,000 strong, which

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was said to surpass soldiers in respect of training and equipment, was stationed over various strategic positions east of the business section. The equipment of these units were the most excellent in whole Manchuria. They were equipped with four light tanks, many trench mortars and heavy machine guns, and even with aeroplanes. In addition, a large quantity of weapons and ammunition were being manufactured and stored in the arsenals and the trench mortar arsenals. Even gas bombs were being manufactured. On the other hand, the Japanese forces consisted of my regiment, about 1,000 strong, (two battalions of three companies each and a machine gun unit) and one infantry battalion of the Independent Railway Guards, about 400 strong (of four companies, two were stationed away from Mukden). Our armament consisted of no more than two armoured cars, some infantry guns, mountain guns, and heavy machine guns, all of which were inferior to those of the Chinese, and we had no military aeroplanes at all. Under these circumstances, not only in their quantity but also in their quality were we constantly conscious of our inferiority and the threat of the Chinese Army. "8. Thus, the spirit of Chang Hsueh-Liang's

soldiers was very high. In fact, General Yei Tai,

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chief of staff, once happened to tell me that while high-ranking officers were mutually understanding, some younger officers were expressing such strong views that he could hardly control them.

Japanese residents in and around Mukden. Because of Chang Hsueh-Liang's pressure, some of them found it so difficult to carry on their business, that they went back to their home country. Atrocities against the Japanese, such as in the Manpausan Incident, frequently occurred. Besides, there were frequent disturbances to our railways. By and by, the Chinese came to assume such anti-Japanese and insulting attitudes also towards the Japanese army.

either with blank or with ball cartridges, or to carry out night maneuvers, we notified them several days beforehand to the Chinese officials through the Japanese Consulate. In spite of our previous notification, however, it happened in the course of night maneuvers towards the end of June, 1931, that some soldiers attached to the Chinese Peace Preservation Unit fired ball cartridges at Japanese patrols. Again, in the latter part of August, 1931, when a party from my regiment held a shooting practice on the outskirts

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of Mukden, it happened that some Chinese bandits violently attacked a Japanese sentry in an effort to rob him of his revolver, and was arrested after a close combat. The pilots of the Northeastern Army were being instructed and trained by Japanese officers. These Japanese instructors, however, their term of office expiring on August 31, returned to Japan on the morning of the 1st of September. From that very day onwards, planes of the Northeastern Army began to fly low over the Japanese barracks in a threatening manner, almost every day. Greatly menaced, we were obliged to construct anti-aircraft establishments in the compound of our barracks. Besides, the Captain NAKAMURA Incident, which became more and more complicated, greatly excited officers and men under my command.

"11. In view of these circumstances,
General HONJO, newly appointed Commander of the Kwantung Army, issued instructions that in case of necessity, we should take positive action, and should endeavor not to impair the prestige of our army. In compliance with his instructions, I told my men, as described above, to muster up their courage and not to impair the honor of their predecessors.

"12. Such being the case, I ordered the

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when going out of our barracks for maneuvers they should carry ball cartridges in the back ammunition pouch (containing 15 rounds) attached to the belt; that they should always wear gaiters when going out; that no soldiers should go outside the railway zone alone; and that whenever going into the walled city, they should all go in groups and inform their goings.

"13. Consequently, more and more intense drills and practices were carried on. Terrain exercises were held night and day, while frequent night maneuvers were conducted, often two or three times a week. Emergency call drills were also frequently carried out. Besides, maneuvers for the defense of the railway zone were conducted night and day. All these were so frequently and intensely carried on that the citizens at large finally became accustomed to them and came to regard them as nothing extraordinary.

"14. On the night of the 18th of September,
1931, I was at my official residence at Aoi-Cho,
Mukden, listening to the report of a certain lieutenant colonel attached to my regiment who had returned
that afternoon from the topographical investigation of
the district where the autumnal maneuvers were expected
to be held. At about 10:40 p.m. Lieutenant Colonel

Independent Garrison, reported to me over the telephone, "as the soldiers of Chang Hsueh-Liang's army
stationed at the north barracks have blasted the railway and attacked our patrol, the platoon to which the
patrol belongs is returning fire now. My battalion
is going to reinforce it at once in response to an
emergency call."

"All right!" I replied, "I will send out an emergency call to our regiment and myself go to the barracks. Let's arrange for particulars there."
Ringing off, I at once called up the officer of the day and ordered him to make the emergency call. After dressing up, I hurried to the barracks. On arriving there, I called together the battalion commanders and was about to explain the situation, when Lieutenant Colonel SHIMANOTO with arms arrived at the barracks on horseback. He said, "I will attack the enemy troops in the north barrack with all the strength of my battalion." "All right!" I replied, "I will attack the enemy in the walled city of Mukden." "Let's do our utmost." After exchanging these words we parted.

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in case of emergency.

"According to what we were instructed prior to this, the operational plan of the Kwantung Army was that, because of strained relations in Manchuria, in case of an emergency the Army should concentrate its main force around Mukden to attack the walled city as occasion demanded. Therefore my regiment had been exerting itself in daily drills and other practices that it might be equal to any emergency. Anti-Japanese sentiments were already prevailing in those days and Chang Hsueh-Liang's Army came to take increasingly provocative attitudes towards the Japanese forces. In view of this we who were stationed in Mukden thought that an emergency might crop up at any moment. Under these circumstances, we should be in danger of annihilation by superior number, if we relied merely on the concentration of our main force. In other words, our chief concern was how to fulfil our duties with the troops at hand in Mukden

"The situation at that time was that Chang
Hsueh-Liang's Army, 20,000 strong, was so disposed
as to encircle the railway zone of Mukden. Suppose
our units, totalling less than 1,500 which were
stationed at the positions in the railway zone
extending over 4 kilometres, were attacked by the enemy,

it would take at least five or six hours for the garrison unit at Liaoyang, which was closest to Mukden, to reinforce us, even if we transported by a railway undamaged and in perfect working order. Therefore, we concluded that to stick to the defense positions would mean our annihilation; hence we should somehow blunt the point of the probable enemy attack by taking positive action and so gain time till the arrival of our main force. Figuratively speaking, the enemy was constantly menacing us with his fist clenched, so that we believed that the enemy shook that fist at us, who had just a weak little body we had no choice but to get inside his guard and hit his vital spot before he could strike our head with his fist.

"16. There had been frequent cases of disturbance to the railway, and in response to such reports we had twice sent out emergency calls and prepared for action. But this was the first time that Chang Hsueh-Liang's troops attacked Japanese units by firing ball-cartridges. Therefore, the moment Lieutenant Colonel SHIMAMOTO reported to me about the outbreak of the incident, I perceived that just as I had expected Chang Hsueh-Liang's Army has taken the offensive against us according to a definite

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plan. I judged that at last they had lifted their fist to strike us. Thus, when Lieutenant Colonel SHIMAMOTO reported to me, 'The entire garrison battalion will reinforce my men who are fighting back,' I agreed and gave my approval.

"17. By ITAGAKI, a staff officer of the Kwantung Army, who happened to be at the Mukden Special Service Office, we were informed of the Kwantung Army intention along similar lines. This made us much elated. However, in order to attack the enemy at their vital spot, I thought we should not be successful unless we took at least the west wall of the strongly fortified inner wall. I therefore asked ITAGAKI to approve of my operational plan stating, 'It is natural that if we rout Chang Hsuch-Liang's troops within the outer walls, we should rush by momentum the west wall of the inner castle. To occupy and hold the west wall to-night will be most advantageous for our attack tomorrow. I request your approval of our occupying the enemy's positions as far as the west wall.' He gave his approval. Thereupon, I went back to the barracks and gave the following orders to the Lieutenant Colonel attached to my regiment, the Commanders of battalions and the Commanders of machine gun units.

"1.	The	attack	ing	units:
"(a)	The	First	Ba	ttalior

"(a) The First Battalion (less the First Company but reinforced by one platoon each of infantry guns and heavy machine guns) shall attack and occupy the first and third barracks of the guards and the trench motor arsenal.

"(b) The Second Battalion (less the Seventh Company but reinforced by the main force of the machine gun unit) shall seize the west wall of the inner castle immediately after capturing the second barracks of the guards and the barracks of the machine gun unit.

"(c) The Seventh Company shall keep watch over the Peace Preservation Unit (the police unit) in the business section and if necessary, shall disarm them.

"(d) The First Company shall remain as reserve for the regiment, but one platoon shall join the main force after disrupting main communication lines leading from the walled city of Mukden.

"2. Guard corps:

"One squad from each company shall remain and organize a unit under the command of Lieutenant Colonel INAMI for maintaining peace and order in the railway zone and protect the lives and properties

of Japanese residents, specially the unit should keep strict witch over the enemy stationed at Huengkutun. Then, under the regimental colors, I gave instructions to the battalions one by one as they lined up. I cautioned them particularly that 'our enemy is Chang Hsueh-Liang's army and not the Chinese people. The people should be given assurance.'

"After that, I made all march off one after another. The First Battalion, the first to set cut, left at about 12:40 a.m. on the 19th, and I started last from the barracks with the reserve force at a little past 1:00 a.m.

"18. Over two hours elapsed between the emergency call issued after Lieutenant Colonel SHIMAMOTO's telephone report and the time I started from the barracks.

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"19. On their way to the objectives our units were fired upon by detechments of the Chinese police force, but they marched on, either disregarding them or after disarming them. The First Battalion, as ordered, occupied the barracks and trench motor arsenal by 3:00 a. m. by crushing the resistance made by the Guards. By crushing the resistance of the second unit of the Guards and in defiance of machine gun fire, the Second Battalion occupied the wall at the northwest corner of the inner castle at about 2:30 a.m. on the 19th. Then it seized the southwest well, and so on, until at last it captured the west wall completely by about 3:00 a. m. During these clashes, one officer and six soldiers were wounded, two of them were wounded in the attack by the police unit and the other five in the attack by the regular army, whereas the enemy left about thirty regular soldiers dead. "20. I asked the Special Service Office to

"20. I asked the Special Service Office to report the action of my regiment to the headquarters of the Second Division. As soon as my regiment occupied the west wall, I ordered an officer to go to Mukden Station to report it to the Divisional Commander who was expected to arrive there. I was at the office of the Japanese Residents' Asso-

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ciation outside the Hsiaohsipienmen gate at about 5:00 a. m. on the 19th, when a staff officer of the Division came along to transmit the purpert of the order of the Division. He told me that the units under the Commander of the 15th Brigade were expected to march along the south side of the Mukden Castle, keeping in touch with my regiment, so that my regiment should advance as far as the east wall of the inner castle at once. So I ordered the units of my regiment to advance as far as the streets within the outer walls north of the east wall of the inner castle, where those units were expected to keep in touch with one another. Pursuant to the order, the Second Battalion advanced, and after accepting the surrender of the Direct Guards unit stationed in the inner castle and disarming it, the Battalion occupied also the barracks of the spear unit who had all run away, and then occupied the east well completely at about 6:00 a. m. The First Bettelion occupied the streets in the north area of the outer castle in cooperation with the Second Battalion. Together with the reserve force, I errived et the Hsiapeimen gate of the inner castle where we came under the command of the Division Commander.

"21. On the other hand, the small unit which had remained behind as guards in the railway zone was, under difficult conditions, prepared for the attack of the enemy stationed at Huangkutun in cooperation with the police unit and an emergency unit composed of military police and members of the Reservists' Association. These units could thoroughly fulfil their duties, such as maintenance of public order in the railway zone and protection of the lives and properties of the Japanese residents.

guns which had been mounted in Mukden. I knew of them, but as they belonged to the Independent Garrison, I had had no time to learn about them in detail. Thus the action of my regiment had nothing to do with them.

"23. When the members of the China Inquiry Commission of the League of Nations came to Mukden, I -- as a participant in the Manchurian Incident -- explained to them the situation at the time of its outbreak, the gist of which was much the same as that which I have stated above. As might be expected of military men, soldier members of the Committee, Major-General McCoy and General Claudel, apparently understood the actual situation quite well."

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MR. YAMATA: I request your Honor to be 1 permitted to put an additional question to the 2 witness. 3 THE PRESIDENT: Yes, an additional ques-4 tion. 5 DIRECT EXAMINATION 6 BY MR. YAMATA (Continued): 7 Mr. Witness, in your sworn statement it 8 is stated that when you returned to the barracks 9 you met Lieutenant Colonel SHIMAMOTO and he men-10 tioned something to you. What was that he told you? 11 Lieutenant Colonel SHIMAMOTO reported to me 12 os follows. He said, "In order to save the troops 13 14

as follows. He said, "In order to save the troops under my command, the units which had proceeded south along the railway -- the company which came down south along the railway of the Hushihtai were met by about four to five hundred troops, enemy troops, possessing infantry guns and machine guns.

THE MONITOR: Slight correction: "The company which came down south along the railway from Hushihtai" instead of "of Hushihtai."

A (Continued) Already officers and men had suffered cosumities and they were having a heated battle. I shall lend the entire force of the battalion and attack Feitryin."

I told him to do his utmost. THE PRESIDENT: We will recess for fifteen minutes. (Whereupon, at 1045, a recess was taken until 1100, after which the proceedings were resumed as follows:)

MARSHAL OF THE COURT: The International M 1 Military Tribunal for the Far East is now resumed. r THE PRESIDENT: Counselor YAMADA. 3 MR. YAMADA: The enswer given by the witness 4 & before the recess, I understand there was a slight mis-D 5 translation. May I have that answer retranslated? 6 d BY MR. YAMADA (Continued): Mr. Witness, you said -- you stated that 8 Lieutenant Colonel SHIMAMOTO told you that "Please 9 take care of the affairs after my leave." What did 10 11 1t mean? A I don't know exactly but I believe most 12 likely what Colonel SHIMAMOTO meant was that because 13 he was leaving for Peitaiying he desired to have me 14 take care of matters after he had left for that 15 destination -- take care of matters with determination 16 17 and resolve. 18 THE PRESIDENT: Oh, we don't want to hear any 19 more of those things. They are immaterial. This 20 affidavit of his is overloaded with unnecessary details. 21 Q when was it, Mr. Witness, that you made 22 decision to attack the walled city of Mukden? 23 (Whereupon, the witness answered in 24 Japanese.) 25

MR. COMYNS CARR: Before that answer is

translated, Your Honor --1 THE PRESIDENT: It must be translated, 2 Mr. Carr. 3 MR. COMYNS CARR: I would like to object to 4 it. 5 THE PRESIDENT: Well, what is the English? 6 Let us hear it. 7 When Licutement Colonel SHIMAHOTO came to 8 the barracks and informed me, made his report to me, 9 I sensed that myself and the troops under my command 10 were in danger of being annihilated. Therefor, I made 11 a decision to attack the walled city of Mukden. 12 MR. COMYNS CARR: I object to any further 13 questions --14 THE PRESIDENT: We don't control those lights; 15 they control us, Mr. Carr. 16 THE MONITOR: Slight modification in the 17 previous interpretation: When Lieutenant Colonel 18 SHIMAMOTO made a report to me at the borracks I came 19 to the conclusion that, unless we attack the walled city 20 of Mukden then, our forces would eventually be faced 21

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THE PRESIDENT: Yes, Mr. Carr.
MR. COMYNS CARR: Just a few questions, your

with annihilation. Therefore, I made that decision

to carry out the attack.

Honor.

GROSS-EXAMINATION

BY MR. COMYNS CARR:

Q General HIRATA, in paragraph 6 of your affidavit you say that before the Manchurian Incident broke out you received complaints from various Japanese organizations in Mukden about the hostile behavior of the Manchurian toward them. Is that right?

A Yes, it is so. The president of the Japanese Association, also the president of the Japanese residents Association, and the bureau chief of the South Manchurian Railway in Mukden came to me and reported such incidents. These three expressed their desire that the military would make a demonstration march in the walled cities -- within the walled cities of Mukden in order to show a display of military force. My reply to this was that misunderstandings might arise if such a demonstration were carried out and, therefore, I could not concede to their request.

Q Other defense witnesses have told us that the Manchurians were anxious for the Japanese tohelp them to create an independent state and liberate them from the Chinese. Do you know why they threw stones at their deliverers?

A I don't know the reason. As a matter of fact

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I am not aware or informed of any movement for independence.

Q Now, in maragraph 14 you say that you got

the first news of the alleged blowing up of the railway from Colonel SHIMAMOTO at about 10 40 p.m. and that he said, "As the soldiers of Chang Hauch-lieng's army stationed at the North Barracks have blasted the railway and attacked our patrol --" Did you ask him whether he was there and saw this personally?

A I believe that Lieutenant Colonel SHIMAMOTO was not actually on the spot.

Q You gave your evidence to the Lytton Commission, you tell us. Is that right?

A Yes.

Q Do you know that a certain Lieutenant KAWAMOTO also gave evidence to them?

A I have heard of it but I haven't been directly informed of this.

Q Did you ask Colonel SHIMAMOTO where he got his information from?

A I did not make any inquiries to this effect of Lieutenant Colonel SHIMAMOTO. I judged that he made his report to me assuming the responsibility.

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1	Q Did you consider it right to make any
2	investigation as to the truth of his report before
	ordering the attack?
3	A At that time I did not have the leeway or
	time to take such a thing into consideration. Since
5	we had been menaced by the enemy constantly, I felt
6	that if we wasted any time in making investigations,
7	and so forth, we would meet a disastrous fate from
8	the enemy.
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10	Q Do you know where KAWAMOTO is now?
11	A I have never met this person KAWAMOTO. I
12	do not know where he is.
13	Q When you got to the barracks, as you tell us
14	and spoke to Colonel SHIMAMOTO, did you ask him then
15	where he had got the information from?
16	A I did not ask him where he received his in-
17	formation. I assumed that he had received it from
13	reports from subordinates.
19	Q For all you knew, then, it might have been
20	the Japanese who blew up the railway?
21	A I do not know in the least that Japanese
22	there is any evidence that Japanese had blown up the
23	railway. I heard the report from Lieutenant Colonel
24	SHIMAMOTO and I fully halfered by

SHIMAMOTO, and I fully believed his report.

THE MONITOR: The guilty party was the

soldiers stationed at Peitalying. 1 For instance, if, as we allege, it was Colonel ITAGAKI who arranged for the railway to be blown up, you know nothing to the contrary? I do not know anything of such a nature. Further, I do not believe that the Japanese were responsible for blowing up the railway. 7 THE MONITOR: Instead of "I do not believe," it 8 should say that "It is unthinkable to me to think that the Japanese would blow up the railway." Did you, before ordering this attack, find out 11 how much damage had been done to the railway? 12 A I had no time to investigate the damages. 13 Q You only had time to start a great war? 14 Now, in paragraph 16 of your affidavit, you say 15 this was the first time that Chang Hsueh-liang's troops 16 attacked Japanese units by firing ball cartridges. Who told you that? 18 I learned it through the report by Lieutenant 19 Colonel SHIMAMOTO. 20 Q But you know there is nothing about that in the report of Colonel SHIMAMOTO as you stated it in 22 23 paragraph 14? A Lieutenant Colonel SHIMAMOTO reported the first 24

time that Japanese patrols had been attacked at the North

Barracks.

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THE MONITOR: Attacked by the soldiers from North Barracks or Peitaiying.

Q Did he tell you how many of them?

A At first I was not informed -- we had no accurate figures as to the strength of the enemy.

But in the second report at the barracks, I learned that the enemy strength numbered anywhere between four to five hundred.

Q That is not what I asked you. I asked you how many of them were alleged to have fired upon your troops in the first place.

A In the first report there were no definite figures mentioned when report was made that a Japanese patrol had been attacked by the soldiers from the North Barracks, but that a platoon had gone to reinforce the troops who were fired upon. We were not informed of the exact strength of the troops.

Q Do you know that KAMAMOTO says there were only five or six?

A I have not heard of it.

Q And you didn't trouble to inquire?

A Because I felt that if we delayed taking action we would suffer disaster from the enemy, we did not investigate the number of the enemy -- we did

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not have the leeway or time to check on the strength 1 of the enemy. 2 Wasn't that because you were determined to 3 make this the excuse for starting a major operation? 4 That is not true. Q Now, you said just now that these Chinese 6 troops came from the North Barracks. Who told you 7 that? I believe that this was true from the reports 9 I received from Lieutenant Colonel SHIMAMOTO. 10 Q Did you ask him how he knew? 11 A No, but I believe that Lieutenant Colonel 12 13 SHIMAMOTO had received the reports from his subordi-14 nates. 15 Q Do you know that KAWAMOTO doesn't say a word 16 about that? 17 A I know nothing at all of what KAWAMOTO said. 18 I do not know him personally. 19 THE MONITOR: Correction: I know nothing of 20 what Lieutenant Colonel KAWAMOTO said. I do not know 21 the person. 22

Q But don't you know he was the man from whom Colonel SHIMAMOTO was supposed to have got his information?

A I believe that -- I assumed that Lieutenant

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But you didn't trouble to ask who they were or what they had said?

As I have stated previously, we were always constantly under the threat of .- the menace of troops under Chang Hsueh-liang, therefore when we heard that the enemy had taken positive action we had no time to make any detailed investigation.

Q Fad you heard complaints such as we have had from defense witnesses in this court about the railway being molested by bandits?

A As I have stated in my deposition, I had heard on many occasions that the railways were attacked. Moreover, I heard twice that these attacks were conducted by troops stationed in the North Barracks.

"ould you now answer the question?

I should like to have the question asked once more.

Had you heard complaints that the railway had been molested by bandits?

I have heard on many occasions.

And how did you know that these men, supposing there were any truth in the story at all, were not bandits?

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By the report made by Lieutant Colonel as he had reported. General HONJO? Do you know that HANAYA reported to General 16 regulars? and to the commander in chief. O Now would you answer the question?

SHIMAMOTO. I am positive -- I believe that it was

Did you cause a report to be made to

At that time I went to contact the Special Service office. Colonel DOHIHARA, the chief of the office, was absent at that time, and Major HANAYA was acting in his behalf. It was my intention to have reports sent to the commander of the Second Division, and also of the commander in chief -- to the commander in chief, through Major HANAYA.

HONJO's headquarters that the railway had been exploded by three or four companies of Chinese

A I assumed that the Special Service office was already informed briefly of the attack, therefore I merely asked that they make the report to the division -- commander of the Second Diffision

- A I shall state again from the beginning.
- Please don't. Just answer the question. I will repeat it.

Do you know that the Special Service officer reported to General HONJO's headquarters that the railway rad been exploded by three or four companies of Chinese regulars?

You can answer that yes or no.

A Major HANAYA was not at the Special Service office when I went. Instead staff officer ITAGAKI

office when I went. Instead staff officer ITAGAKI
was present. I therefore asked that ITAGAKI convey
to -- send the message to the commander of the
Second Division, and to the commander in chief of
my division to make the attack.

THE MONITOR: Mr. Carr, we just finished interpreting your latest question.

A I do not know that such a report was made.

Q Simple.

Well, now then, about Colonel ITAGAKI. Do you know why Colonel ITAGAKI was in Mukden that night?

A I do not know.

O Do you know that Major General TATEKAWA, from Tokyo, was there also?

A No, I do not know.

O Would it be right to say that it was Colonel ITAGAKI who ordered you and Colonel SHIMANOTO to make these attacks?

A I do not believe that staff officer ITAGAKI has the authority to make -- give me direct orders.

Q Now will you answer the question?

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THE PRESIDENT: Did he give you the orders 1 whether he had authority or not? 2 THE WITNESS: He did not give any orders. 3 Are you familiar with the volume called 4 "Outline of the Course of the Manchurian Incident 5 Campaign," a Japanese official publication? 6 I do not know of it. 7 You don't. All right. Q 8 In paragraph 19 of your affidavit you said, 9 "The First Battalion, as ordered, occupied the bar-10 racks and trench motor arsenal by 3:00 a.m. by crushing the resistance made by the Guards." Would it be 12 right to say that that attack took the Chinese com-13 pletely by surprise? 14 That I do not know. 15 You were in command, were you not? 16 I did not command directly; my sobordinates 17 A 18 took direct command. Do you know that it took them so much by sur-19 20 prise that all the lights were on in the barracks when 21 your troops fired into them? 22 I have not heard of that for sure. 23 In paragraph 22 you say that you knew of the

heavy guns which had been installed in Mukden. Who

ordered them to be installed?

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As I have mentioned in my deposition, I was A not informed of the details. I do not know of any details. How did you get to know about it at all? When I learned of it for certain, it was when these guns actually fired that night. Do you know that they were installed in secret and that the story was given out that a well was being sunk? I have heard of that as a rumor only. If you, the Japanese, had a right to install them, why the secrecy?

That is something which I do not know.

Do not you know very well that they were installed for the very purpose of being used in this operation which you were going to carry out on the night of the 18th of September?

I do not know at all.

Last question: In paragraph 23 you say that you gave all the information which is in your affidavit to the Lytton Commission, and you go on to say that Major General McCoy and General Claudel, as military men, apparently understood the actual situation quite well. Do you know that they understood it so well that they signed the report with their colleagues?

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I am not well informed of such facts. A THE PRESIDENT: Counsel YAMADA. 1 MR. YAMADA: There is no redirect, your 2 3 May the witness stand down the box? 4 THE PRESIDENT: The witness is at liberty 5 on the usual terms. 6 (Whereupon, the witness was ex-7 cused.) 8 THE PRESIDENT: Recall the witness. Bring 9 him back. 10 (Whereupon, the witness resumed the 11 stand.) 12 THE PRESIDENT: You are still on oath. 15 If ITAGAKI had no authority to give you 14 orders, why did you request his approval of your plan 15 of attack? 16 THE WITNESS: I did not ask for his approval. 17 I merely asked -- informed him of my resolve to con-18 duct the attack and to inform the commander of the 19 division and of the commander-in-chief of my resolu-20 tion to do so. THE PRESIDENT: At page 11 of exhibit 2404, 22 which is your affidavit, the following appears: "I, 23 therefore, asked ITAGAKI to approve of my operational 24 plan --," and you go on to say that you said to 25

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 ITAGAKI, "I request your approval of our occupying the enemy's positions as far as the west wall. "He gave his approval."

THE WITNESS: It was staff officer ITAGAKI's opinion that it would be, perhaps, better to make preparations before the west wall.

It was staff officer ITAGAKI's opinion that attack should be carried out short of the west wall -- short of capturing the west wall, but it was my opinion that it would be better to capture the west wall.

THE MONITOR: I believed it was necessary to capture the west wall.

THE PRESIDENT: You cannot evade answering the question that way. You say in your affidavit you sought ITAGAKI's approval of your plans. You said here that he had no authority to direct you. How do you reconcile the two?

THE PRESIDENT: Because of the difference of views between staff officer ITAGAKI and myself, I merely asked that he approve of my views. If it were a direct order, regardless of my views -- regardless of whether my views coincided with his or not, I would have to conform to his orders -- obey his orders.

THE PRESIDENT: How did you know of ITAGAKI's 1 opinion? 2 THE WITNESS: When I informed staff officer 3 ITAGAKI of my plans, he stated that he believed it 4 would be better to capture the barracks before reach-5 ing the west wall and there to prepare for the opera-6 tions on the following day. THE PRESIDENT: But you said you told 8 ITAGAKI of your plan because you knew his contrary 9 opinion before that. 10 THE WITNESS: That is not true. When I met 11 staff officer ITAGAKI, then for the first time we 12 exchanged views. 13 THE PRESIDENT: We can form our own con-14 15 clusions. Would you like to ask any further questions 16 17 in view of the questions from the bench? MR. YAMADA: I have no questions to ask the 18 19 witness, your Honor. 20 THE PRESIDENT: The witness is released on 21 the usual terms. 22 (Whereupon, the witness was excused.) 23 We will adjourn until half-past one. 24 (Whereupon, at 1200, a recess was

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taken.)

AFTERNOON SESSION

The Tribunal met, pursuant to recess, at 1330.

MARSHAL OF THE COURT: The International

Military Tribunal for the Far East is now resumed.

THE PRESIDENT: Mr. Mattice.

MR. MATTICE: May it please the Tribunal, the defense intended to offer at this time the witness SHIMAMOTO, who is not present, however, being ill and unable to attend. He lives and is ill at a point some distance from Tokyo.

We have a statement from Doctor SHIMA, who is attending this individual, which, however, is not sworn to, but is a statement which says that the above named, SHIMAMOTO, Shoichi, is suffering from gallstone, and is under treatment. He will require a rest of one month more for convalescence. It is dated March 14, 1947. Signed SHIMA, Shunichi.

Recause we feel that it more properly fits in this phase of the case, at this point we desire to offer in evidence the affidavit of SHIMAMOTO, which is defense document 834.

THE PRESIDENT: Mr. Tavenner.

MR. TAVENNER: If it please the Tribunal, this witness is the same person alluded to frequently by the witness who just left the stand. The same

person has given another affidavit, which is document 703 and appears on the order list the second below the affidavit now sought to be introduced.

The present affidavit relates to particulars on the activities of Japanese troops, and it is impossible from the examination of this affidavit to determine on its face what part of it is hearsay and what part is not.

The source of the affidavit, as shown by the certificate, is also a matter of some doubt or some question, therefore it is our purpose to cross-examine this witness, and we think he should be here at the time the affidavit is read.

I want to point out, in that connection, the medical certificate that is given alludes to a person other than that of the affiant, that is, on its face, in that the first name is Shoichi, where the first name of the affiant is Mesaichi. Now it may be the same person or it may be another member of his family. However that may be, this medical certificate in itself does not state facts which we think the Tribunal would be warranted in considering the question of whether he is able to be here now or not.

This gives rise to an even larger question.

This is the fourth or fifth witness and there is another later on in this order list, as to whom medical certificates have been presented or statements have been made that they were not in physical

condition to be present.

We have considered this matter and we think we should call it to your Honor's attention, because we are definitely of the opinion that before an application is received by the Tribunal for the admission of an affidavit where the affiant can not be present at the time for cross-examination, that we should have sufficient notice in advance so where it seems a proper case for us to do so and a medical examination can be made by a physician to be selected by the Tribunal before action is taken as to whether or not a proposed witness is, as a matter of fact, incapable of attendance in court.

THE PRESIDENT: This certificate was given twenty days ago. He then required a month's rest. He should be available within a fortnight.

MR. TAVENNER: Yes, sir, and we object to the reading of the affidavit in evidence under the circumstances shown on the face of this particular certificate.

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THE PRESIDENT: If you are determined to cross-examine there is no advantage in taking the affidavit today.

MR. TAVENNER: Now, as this question seems to be arising so frequently, we would like for the Tribunal to consider the propriety of procedure along the line that I have suggested.

THE PRESIDENT: Well, I think the Court would like this affidavit to be read when the witness is available, in the usual way.

MR. MATTICE: I take it the same will apply to the second affidavit, document 703.

The defense now offers in evidence document No. 824, which is a map showing the disposition of the Chinese garrison power around the Mukden provincial wall, compiled by the General Staff of the Kwantung Army, December 1930.

THE PRESIDENT: Mr. Comyns Carr.

MR. COMYNS CARR: Your Honor, this document is like another map with figures on it which was dealt with yesterday. The only certificate with it is by Mr. BANNO, Counsel, to the effect that the document came into his possession in 1939 and has been in his custody ever since. With regard to that document, the Tribunal put it back until proper proof of the figures was produced, and I ask the Tribunal to do the same in this case.

The only other observation about it I have to make is that in my submission it is not material to know how Chinese troops were disposed in November 1930, because the disposition may easily have been quite different in September 1931.

MR. MATTICE: It is true, if the Tribunal please, that a search is being made for the book from which these maps were taken. I am not informed whether they have been able to find it. Perhaps we had better pass that for the present.

Now, the witness OYAMA, next on the running commentary, Language Section, is not present.

The witness TAKEDA will now be called. He will be examined by Mr. BANNO.

THE PRESIDENT: Mr. Comyns Carr.

MR. COMYNS CARR: Your Honor, may we inquire
why the witness OYAMA is not present? He works in
an office in the grounds of this building.

MR. MATTICE: The reason, if the Tribunal
please, is the difficulty which of course has beset
us for some time. His affidavit has not been processed;
we do not have it yet.

THE PRESIDENT: We have an affidavit here
purporting to be a copy of one by OYAMA, Fumio. Is
that the same name?

MR. COMYNS CARR: It has also been served
upon us, your Honor.

THE PRESIDENT: It purports to be signed and sealed on the 24th of December 1946.

MR. MATTICE: If the Court please, there are two affidavits, and the one your Honor has is 347. The one which it is desired to be used, and the later affidavit, is the one which has not come through from processing. We are waiting for it.

THE PRESIDENT: Does the running commentary disclose two affidavits by this witness?

Well, the running commentary discloses only one affidavit by this witness or proposed witness.

We want an explanation.

MR. MATTICE: If the Court please, the running

commentary carries the number 347, but that is not 1 the affidavit. The affidavit is defense document 897. There was a mistake in the number on the commentary. 3 And 897 has not come out from the processing. 4 THE PRESIDENT: Mr. BANNO. 5 MR. BANNO: I wish to call Witness TAKEDA, 6 Hisashi. 7 8 HISASHI TAKEDA, called as a witness 9 on behalf of the defense, having first been 10 duly sworn, testified through Japanese 11 interpreters as follows: 12 DIRECT EXAMINATION 13 BY MR. BANNO: 14 What is your name? 15 My name is TAKEDA, Hisashi. A 16 Where do you live? 17 Q I live at No. 9215 Ina, Ina-Machi, 18 Kami-Ina-Gun, Nagano Prefecture. 19 How old are you now? 20 21 A I am fifty-four years old. Q Now I will show you defense document 22 No. 885. Will you look at it and examine if it is 23 24 your affidavit. Is what is said in that affidavit 25 all true? Is that your affidavit?

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A Yes, it is. There is no mistake. 1 MR. BANNO: I tender in evidence defense 2 document No. 885. 3 THE PRESIDENT: Admitted on the usual terms. 4 CLERK OF THE COURT: Defense document No. 885 5 will receive exhibit No. 2405. 6 (Whereupon, the document above 7 referred to was marked defense exhibit 8 No. 2405 and received in evidence.) 9 MR. BANNO: Before reading defense exhibit 10 11 No. 2405, I should like to add a few words by way of 12 explanation. 13 After having examined this affidavit care-14 fully, we have decided to omit considerable parts 15 of this affidavit which include witness' opinions, 16 details and other immaterial statements; and 17 especially after having called the witness KATAKURA 18 and having heard his testimony, we have omitted from 19 his affidavit parts which tend to be repetitious --20 which we thought would be repetitious.

We intended to submit to the Court the revised affidavit -- errata correcting the witness' affidavit, but due to technical difficulties we were not able to do so. We have therefore given to the Language Section a copy of the affidavit with the

sections omitted and it will be road as such, and we should like to request that the Court consider that the portions omitted have been those sections which have been deleted from this affidavit.

I will read the affidavit now, omitting the parts.

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"I. SITUATION BEFORE THE OUTBREAK OF THE INCIDENT

"Towards the latter part of August in the 6th year of Showa (1931) Lieutenant General HONJO, the newly appointed Commander of the Kwantung Army, arrived at his post. At the beginning of September he started his first tour of inspection and review. I was then in his suite. The reports submitted to the new Commander of the Kwantung Army by the unit commanders at the time were filled with righteous indignation at the contemptuous attitude of the Chinese authorities toward our Army, the recent repeated interruptions to the traffic on the South Manchurian Railway line and the oppressive and insulting treatment of our nationals in the districts. Alarming rumors were current among the local officials and people, which somehow foreshadowed the coming evils. The new commander always remained calm and serene, and warned his men and the local officials and nationals against hasty and ill-considered acts, cordially persuading them to endure the difficulties with patience. On September 12 when the new commander inspected the Independent Garrison at Kunchuling, Lieutenant General MORI, the commander of the Garrison, submitted a report to the effect that the anti-Japanese movement of the

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Chinese officials and people had been remarkably systematized, with the result that bandits had begun to dominate the districts so rampantly that there were signs that the outlaws, ignoring our army, were going to disturb the South Manchurian Railway as well as the zone attached to it. The railway guards of the Independent garrison had been ordered strictly to be most patient so as to refrain from taking positive action outside the railway zone.

"II. OUTBREAK OF THE INCIDENT AND THE CALLING OUT OF OUR ARMY

"Completing the inspection tour of the army, Lieutenant General HONJO, accompanied by his staff, returned to Port Arthur shortly after 10:00 p.m. on September 18.

"I was so exhausted from the week's inspection tour that I soon fell asleep. I had scarcely
slept for an hour when a loud clang of the bell woke
me. I got up, wondering what was the matter. KATAKURA,
a fellow staff officer, who was my neighbor, told me
that something serious had happened and that we were
all to meet at Chief of Staff MIYAKE's official residonce. Then I reached the residence I found the
Chief of the Staff sitting with KATAKURA and NAKANO,
another staff officer. KATAKURA, without uttering a

word, handed me the purport of which was as follows and was the first news:

"'Receiving a report that frenzied Chinese soldiers, at about 10:00 p.m. on the 18th destroyed part of the South Manchurian Railway line on the west of Peitahying which lies to the north of Mukden, and attacked our garrison, the Second Infantry battalion of the Independent Garrison at Mukden has been dispatched to the spot.'

"I remained silent for a while as the realization dawned that what was to happen had at last come to pass. After a while all the other staff officers came to join us, and we moved to the main office of the headquarters about 100 metres away and studied measures to cope with the situation. It was all so sudden and coming as it did upon the completion of the army commander's important annual inspection, both officers and men were relieved of strain and had probably been resting. The fact is, I myself was one of those who were caught off their guard. All that came to me at that moment were vision of the confused scene at Mukden and my earnest hope for the success of our troops. Meanwhile the second report reached us, the gist of which read as follows:

"'An enemy unit of the Peitaiying barracks

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has blown part of the South Manchurian Railway line.

The strength of the enemy is as three or four infantry companies. Our company dispatched from Hushitai after 11 o'clock is now fighting the 500 or 600 enemy troops. A corner of the enemy barracks has been taken by our troops. The enemy is now reinforcing with machine gun and infantry guns. Our company is fighting with desperation. Lieutenant NODA seriously wounded.

"It was at this time that Commander of the Army HONJO arrived at the headquarters and entered his office room, speechless, and looking sad. Major General MIYAKE and ISHIHARA, staff officer in charge of operations, followed him into the room. A few minutes later staff officer ISHIHARA came back with his lips firmly set. (The staff of icer told us) that the Commander had made a firm resolution after deep meditation and had solemnly declared, 'Well, I will carry it out on my responsibility.' At these words, which cleared the air, I was freed from the feeling of uncertainty which had occupied my mind for a while, and reached a confident belief that the only way for me to follow was to make my utmost efforts in accordance with the Commander's solemn and decisive resolution.

"Between 1:30 a.m. and 2:00 a.m. on the 19th

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in the plan of operations already provided in peace time concerning the movement of the unit stationed at Changchun. According to our original plan that unit was also to go to Mukden. But Commander HONJO ordered that it should not do so, thinking it adequate for the corps to be standing by at Changchun, preparing for actions as it was too early for it to advance on Mukden in accordance with the scanty information they had obtained at that time.

"A report was made to the Central Authorities and a telegram requesting the dispatch of additional troops was sent to the Commander of the Korean Army according to the definite agreement made in normal times. The gist of the telegram ran as follows:

"About (10:30 p.m.) on the 18th the Chinese troops at Mukden made a sudden attack on our garrison. All our forces are fighting desperately with the enemy. The army has decided to attack Mukden with full strength. You will please dispatch reinforcement as soon as possible.

"As it was feared that the Chinese troops stationed near Koupantzu, Chinchow, might make a rearattack upon us while our troops were fighting alongside the South Manchurian Railway Line, the army asked Rear

Admiral TSUDA, the Commander of the Second
Dispatched Fleet, which was then near Tsingtao,
to collaborate by dispatching part of the squadron to
the offing of Yingkao. But Commander TSUDA assuring
that he would take adequate measures according to
the development of the situation, declined to help
us then because there was danger that the disturbances
might extend to the Shantung district.

"Battles in the Mukden Vicinity

"Colonel ITAGAKI, a senior staff officer of
the army, was then at Mukden by order of Commander
HONJO after the inspection at Liaoyang on the 18th.

As he was well aware of the intention of the Commander
of the Army as well as the plan of operations, he
gave necessary instructions to Colonel HIRATA, the
Commander of the 29th Regiment of Infantry and Commander
of the Garrison at Mukden, and Lieutenant Colonel
SHILAMOTO, the Commander of the 2d battalion of the
Independent Garrison, and agreed with their determination to attack the barracks at Mukden and Peitaiying.
The determination of these front-line commanders was
reported to Army Headquarters about 2:00 a.m. on the
19th, and Commander MONJO gave his assent.

"III. ADVANCE OF K!ANTUNG ARMY FEADQUARTERS
TO NUKDEN POLICY OF LOCALIZATION OF THE INCIDENT,

REINFORCEMENT FROM THE KOREAN ARMY

"The Commander of the Army, accompanied by the minimum necessary number of men leaving Port
Arthur at 3:30 a.m. arrived at Mukden about noon on the 19th, after having issued the first order. Chief of Staff MIYAKE and I remained for some time to arrange some unsettled affairs. Leaving there at 7:25 a.m. we arrived at Mukden past 4 o'clock that afternoon, when we saw columns of smoke rising up somewhere in the direction of Peitaiying, now and then hearing the reports of guns from the direction of Tungtaying far away. On arriving there we were told of the development of the battle. Such swift and successful operations had never been dreamed of.

"The progress of the battle at Changchun had not yet been reported. Although, after my departure from Port Arthur, I had heard on the train of the outbreak of hostilities at Changchun, I did not pay so much attention to it judging from the situation at Mukden. But on my arrival at Mukden, the staff officers there told us that seemingly a fierce battle was going on at Changchun, and the reports of the development of the battle was not so favorable until six o'clock. At last toward the evening we received reports about the occupation of the town of Kuanchengtzu

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and the barracks at Manling. This report was delivered to us so late on account of the crippled military communication -- the military communication between Changchun and Mukden had been maintained by radio of the South Manchurian Railway at the time -- due to the sudden increase in telegrams concerning railway traffic caused by the congestion arising from the transportation of troops.

"Prior to this, Commander HONJO at 8:40 on the 19th received a telegram from the Commander of the Korean Army when he was passing Tashihchiao. It is reported that five infantry battalions, two artillery battalions, one cavalry company and one engineer company together with two squadrons of airplanes were to be 14 dispatched. At the time there were reports of bitter 15 fighting at Changchun and increasing uneasiness in 16 Kirin and Harbin, and ISVIHARA, who was in charge of operations, was deliberating with other staff officers on a plan for the period after the arrival at Mukden. Shortly after 5:00 p.m. on the 19th, information from 21some quarters of the South Manchurian Railway was 2received as to the reinforcements from Korea which, it 25eems, were waiting at Shingishu by orders from above. 2About 11 o'clock that night we had an official telegram 26rom the Commander of the Korean Army to the same effect.

About six that afternoon an instruction by wire from the War Minister, closely followed by a telegram from the Chief of the General Staff, reached us. Their purport was as follows: The instruction from the War Minister:

"The present issue between the troops of Japan and China was caused by the attempt on the part of the Chinese troops to blow up the railroad of the South Manchurian Railway, and it is obvious that the blame should be placed upon China, but we have definitely adopted a policy of localizing the affair to a minimum. You will therefore deal with this affair with due consideration of the above.

"The instruction from the Chief of the General Staff:

- "1. The resolution and steps taken by the Commander of the Kwantung Army after September 18 were adequate, and are believed to have been effective in upholding the dignity of our army.
- "2. It has been decided at a cabinet meeting that in view of the attitude of China since the outset of the affair we should not go farther than necessity drives in measures adopted for the settlement of the issue. Your operations, therefore, will be carried out in accordance with that decision.

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"IV. SECOND DIVISION DISPATCHED TO KIRIN,
AND KOREAN ARMY REINFORCEMENTS.

"From the outset Kirin was the center of the anti-Japanese movement in Manchuria. The report of the clash between the troops of China and Japan caused further aggravation of the anti-Japanese sentiment among the Chinese people near Kirin. On the 19th Hsi Hsia, the Deputy Chief of Kirin Province, declared that he could not take the responsibility thereafter as to the protection of Japanese nationals. According to the information we obtained from the authorities of the Kirin-Changchun Railway, the main force of the Kirin Army had already been called out (its strength and the direction of its movement were not clear.) Toward the evening of the 20th, we were furnished with information by Lieutenant Colonel OSEKO, the Military Adviser to the Kirin Army, to the effect that the Kirin Army had crossed the border on the 20th, and we thought that these troops would advance in the direction of Changehun, or come down along the Mukden-Hailung Railway to the south up to Fushun for the purpose of counteracting our movement and reinforcing the enemy main force.

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"At 9:45 that night we received a

telegram (sent at 11:40 p. m. from the President of the Japanese nationals in Kirin. The gist was as follows:

"The situation in the Kirin area is tense. The residences of some Japanese nationals have already been fired upon. Therefore, a section of the women and children here has already been evacuated. The remainder, however, not only find evacuation impossible but the danger grows hourly imminent. As a decision on the part of this society we beg you to adopt swift and complete measures to protect this place.'

"At the time the situation in the Harbin area was also considerably aggravated. And the Japanese nationals there were preparing to evacuate. In the vicinity of Mukdem things were all in a state of confusion, a considerable number of the remnants of the defeated enemy troops being seen prowling thereabout. Under the condition, if troops were to be dispatched to Kirin for the purpose of protecting our nationals there, considering the strength of the enemy force stationed near Kirin it would be necessary to dispatch the complete strength of the 2nd Division at Changchun. This, however, would weaken the defense of a long stretch of the railway

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line and much danger was apprehended that the remnants of the defeated enemy troops making a rally, might attempt to destroy the railroad, cut our army into two parts and keeping them some four hundred and fifty miles apart, one in the south and the other in the north. But now that the Government had definitely adopted the policy of localizing the affair, the Commander of the Army had to be most deliberate in making decisions. And yet he, who had the heavy responsibility for the protection of our nationals could not find it within himself to leave in imminent danger the nationals whose only hope lay upon that single track of railway. Moreover, a passive measure taken in fear of the danger of separation of strength might prove to be an incentive to the enemy reaction and cause a state of hopeless confusion. At last we reached a conclusion that there was no other way left for us but to deliver such a crushing blow to the enemy at Kirin that it would be thoroughly cowed and, at the same time, to take firm measures for safeguarding the South Manchurian Railway line. Commander HONJO and MIYAKE, the Chief of the Staff, deliberated upon the matter for about an hour, and later again fully

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discussed it with all the staff officers. At 3 a.m. on the 21st a decision to dispatch troops was given by the Commander.

"The main force of the Second Division left Changchun for Kirin on the morning of the 21st.

"According to information from our secret agents, the Kirin Army were taking up positions all around the city of Kirin. We waited for the first report, being prepared for a heavy battle. On account of the defective means of communication, however, we could not make contact with the dispatched troops until a report of the bloodless occupation of the city reached us after 9 o'clock that evening. Such news was really a surprise -- a pleasant surprise which put our mind at ease. When commander HONJO decided to send troops to Kirin, he reported by wire the details of the dispatch of the troops to the Commander of the Korean Army as well as the military authorities at Tokyo and eagerly waited for the answer, which did not come so soon. Somehow it was felt that the atmosphere in the headquarters of the army was rather gloomy. Shortly after 1 p. m. we obtained information from the authorities of the South Manchurian Railway to

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the 39th Mixed Brigade had begun
River. This report was closely
ram from the Brigade Commander,
3 as follows:

and 39th Mixed Brigade crossed the Ler at 1:00 p. m. on the 21st and is coming up to Mukden under Your Excellency's command.

"Commander HONJO and all the other members of the Army were grateful for the friendship of the Korean Army in such a difficult situation.

"Shortly after this the Chief of the General Staff sent a telegram from Tokyo recognizing that the dispatch to Kirin of our troops was the outcome of necessity.

"Upon this Commander HONJO ordered the 39th Mixed Brigade to garrison the Mukden area and the whole strength of the Second Division to concentrate near Changchun.

"V. ARMY'S RETURN TO RAILWAY ZONE: BOMB-ING OF CHINCHOW.

"As our occupation of Kirin relieved the South Manchurian Railway Zone of its fear of attack, Commander HONJO determined to call back the main force of the army immediately to their posts alongside the railway line, and watch the

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the effect that the 39th Mixed Brigade had begun to cross the Yalu River. This report was closely followed by a telegram from the Brigade Commander, the gist of which was as follows:

"'The 39th Mixed Brigade crossed the

frontier at 1:00 p. m. on the 21st and is coming up to Mukden under Your Excellency's command.

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development of the situation, taking advantage of the occasion of the arrival of the 39th Mixed Brigade. On September 23 he made a speech to his men, in which he admired their valor and instructed them to maintain complete defense of the zone under strict discipline. On the 24th, the following day, the main force of the Second Division was ordered to guard the vicinity of Changehun, coming back from Kirin, the 39th Mixed Brigade the vicinity of Muke m, and part of the Independent Garrison was stationed at Kirin (the company stationed at Tunghwa left the post on October 10) and near the crossing point of the Liao River east of Hsinmin, and took charge of maintaining peace in the district. Chang Hough-liang, who was staying at Peiping, established the Headquarters of the Northern Frontier Army and the Government of Mukden Province at Chinchow. High officials, military and civil, including Chang Tso-hsiang, the former Chief of Kirin Province were given their posts. Gradually the Headquarters and the Army was opened. And he attempted to harass our rear inciting mounted brigands and soldier bandits to guerrilla warfare. Not a few Japanese nationals, especially Koreans, were victims of their looting and violence. In spite of the efforts

made by our army uneasiness seemed to prevail wider and wider.

"It was natural that the attention of the Kwantung Army should be called to these Chinese machinations at Chinchow.

"First, the flying units were ordered to reconnoitre the Chinchow area. According to their reports, our planes appeared above the city of Chinchow, on October 8, when the enemy displayed its hostility firing at the planes, which then bombed the military establishments including the enemy barracks and the College of Communications where the enemy headquarters had been established. But at that time those planes attached to the army had no bombing equipment and were fitted with temporary gear and the bombs were also very crude ones. So we learned the bombing made on that day did not cause any material damage to the enemy.

"VI. BATTLE IN THE TAHSING VICINITY SITUATION BEFORE BATTLE.

"Since May, 1931, because of the precarious political situation in North China, Wan Fulin, the Chief of Heilungkinng Province and Vice-Commander of the Northern Frontier Army, had been staying at Peiping by order of Chang Hsueh-liang with

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three infantry brigades and an artillery corps, the pick of the Heilungkiang Army. Wan Kuopin, his eldest son, remained in his father's place during his absence, and Chief of the Staff Hsieh K'o was in charge of military affairs in place of the Vice-Commander. Faced with a grave situation in Heilunkiang Province, where signs of drastic change were seen after the outbreak of the incident, Wan Kuopin was too young to have any strong authority over the people, and Hsieh K'o was a mere colonel and not competent enough to decide major important matters, which were all conducted according to the instructions of "an Fulin who was then at Peiping. More than once Wan Fulin worried about the inconvenience and requested his return to his post of Chang Hsuehliang, who refused him permission each time. (The above information was obtained through Chinese telegrams which were intercepted and deciphered by us.) Chang Haipeng, the Commander of the Border Garrison of Taonan-Liaosi Districts, seemingly with the intention of expanding his influence towards the north at this opportune time, made a declaration of independence on October 10, and set forth to advance into Heilungkiang Province. Thereupon, Wan Kuopin asked for instructions from

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his father on the one hand, while a messenger was sent to Chang Haipeng to appease him. Every time Chang Haipeng's advance was reported to Wan Fulin, he was utterly taken aback and did not know what to do, being either elated or depressed according to the situation. Later on October 4, as it was revealed that our army had no intention of helping Chang Haipeng, Wan Fulin sent telegraphic instructions to the following effect:

"'The Japanese Army will never advance into North Manchuria. Chan Haipeng seeks to take over Heilungkiang Province by threat; so rather than indulging in futile trepidation you should resist him with determination. You will see to it that, as the Japanese Army has no intention of violating North Manchuria, the people should set their minds at ease and be careful not to be deluded by false reports.' (The above was the translation of an intercepted telegraphic instruction sent on October 4. The following information of the enemy's movements was also obtained from the enemy's telegrams intercepted by us. The code-book of the Chinese Army was obtained by us when we entered Mukden.) Then the troops of Heilungkiang Province were concentrated near Tsitsihar, and Ma Chanshan,

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the Commander of the 3rd Brigade of Infantry of Heiho was summoned to Tsitsihar and appointed Commander in Chief of the Provincial Army and concurrently Deputy Governor of Heilungkiang Province.

"On the other hand Chang Haipeng, although he had designs on Heilungkiang Province, still remained irresolute, and was continuing negotiations with the Heilungkiang Provincial Government (according to the reports from KONO, Chief of the Branch Office of the South Manchurian Railway Company at Taonan), and seemed to be trying to take the area by means of diplomatic tactics. On the part of the Heilungkiang authorities the minds of the leaders were still agitated. Some days went by and it was understood that they were waiting for Ma Chanshan's arrival at Heiho, and at the same time setting forth to fortify the vacinity of Tashing. At last Chang Haipeng made a decision and left Taonan on October 15 (according to the reports from KONO, the Chief of the Branch Office of the Manchurian Railway Company at Taonan and began to march northward. Thereupon, the Heilungkiang Army resisted the aggressing force, burning up a railway bridge across the Nonni River, which caused interruption of traffic on the Taonan-Angangchi Railway line. The

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railway was not only part of the main line of international communication but was built on our loan and at the time it was the only security for the loan, as neither principal nor interest had been paid by China. Faced with the season of transportation of 2,500,000 tons of special products in North Manchuria, the South Manchurian Railway suffered a serious loss through the destruction of the railway bridge, and economic life of the people in North Manchuria was also considerably affected. Therefore, on October 20 TAKEMURA, Katsukiyo, an engineer of the Taonan-Angangchi Railway Bureau, accompanied by fifteen persons, were sent by the South Manchurian Railway Company to the spot for actual inspection. When TAKEMURA's party arrived there they were illegally fired on at close range by the troops of the Heilungkiang Army who were full aware of their being non-combatants.

"After this MURAKANI, a director of the South Manchurian Railway, together with the consulgeneral at Mukden made a demand upon the Kwantung Army and the central circles at Tokyo for the repairs. Concerning this the army had negotiations with Ma Chanshan through Consul SHIMIZU at Tsitsihar, and with Kuznetsov, the Vice-President of the Board

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of Directors of the East China Railway through
the consul-general at Harbin. We made most reasonable representations, but all in vain. It was absolutely necessary to complete the repairs before the freeze set in. At the last talks on October 27 we gave them notice that the repairs should be completed by November 3, a week later than that day, and added that if they should not agree with us we must carry out the repairs on our part by using force. The Heilungkiang Government promised to start repairing on October 30, but it was not sincere in its promise, and right from the start no attempt at repairing was made.

"NONNI RIVER DETACHMENT DISPATCHED.

"As no sincerity was shown by the Heilung-kiang Provincial Government the Taouan-Angangchi Railway Bureau and the South Manchurian Railway Company determined to start the repairs and made a request to the army to send troops to protect the working party at the destroyed bridge. It was thought that the main force of the Heilungkiang Army massed on the northern bank of the Nonni River consisted of about 2,000.

As the bridge was situated more than 500 kilometers away from the Manchurian Railway Zone

where the main force of our army was stationed, it was quite difficult to send reinforcements at an adequate tire in case of emergency. What was worse, the Nonni River ran there isolating from us the working party and the covering force. Under such circumstance, it was obvious that in case of emergency at least one regiment of infantry was absolutely necessary.

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preparations.

But Commander HONJO, honestly observing the localizing policy, determined to send the necessary minimum of men so as not to provoke the people of Heilungkieng Province, and ordered the dispatch of the Nonni River Detachment (one infantry battalion, one ertillery battelion, and an engineer company) under the command of Colonel HAMAMOTO, the Commander of the 16th Infantry Regiment. Commander HONJO gave special instructions to Colonel HAMAMOTO to use prudence and caution in conducting the despatched troops, for instance, first he was to negotiate with Heilungkiang Army and then send a unit to protect the working party after having ascertained the complete withdrawel of the Heilungkiang Army. He made every effort possible to avoid trouble, to the spot sending ISHIHARA, staff officer in charge of planning military operations. The Nonni River Detachment. starting from Changchun, arrived at the vicinity of Chengchiatuh on November 1 and the next day advanced to the vicinity of Teilei, and then commenced various

"On that day Commander HONJO notified Ma Chamshan and Chang Haipeng to the following effect:

"As the Teonan-Angengchi Railway Bureau and the South Menchurian Railway Company are now

going to repair the railway bridge near Nonni River Bridge under cover of our forces;

"1. It will not be permitted to use the bridge for military purposes.

"2. The troops of both armies should be withdrawn farther than 10 kilometres from the bridge. They are not to be permitted to enter an area within 10 kilometres of the bridge until the completion of the repairs.

"From that night until the efternoon of the following day, we received several reports on the progress of the battle. The conditions at the outset of the battle were reported as follows:

"On the night of November 3 our engineer corps repaired the destroyed bridge so that infantry on foot might be able to cross it. At 3:00 a.m. on the 4th Captain HANAI, the Company Commander, and part of his men occupied the 5th Bridge about 3 kilometres south of Tahsing Station and took up their posts protecting the working party. At 8:30 a.m. the Chief of Staff of the Heilungkiang Army, accompanied by SHIMIZU, the Consul at Tsitsihar, come to the spot and declared that the Heilungkiang Army had no intention of resistance, and promised to withdraw his troops from the first line by noon

that day, and returned.

"On that morning we had a dense fog which prevented us from ascertaining the enemy's position in front of our troops.

"The 7th company of the 18th Infantry
Regiment left the 5th Bridge at noon, trusting in
the words of the Chief of Staff of the Heilungkiang
Army. They marched with a big sunflag at the head
of the column and each member carried a small one.
When they reached a point some distance to the south
of the Tahsing Station, they were suddenly fired on
from several directions with rifles and guns. In
an instant we had 13 casualties and the company had
to retire to the 5th Bridge to wait for the arrival
of the main force.

"Hearing the reports of guns, the main force then staying near Kiangchiao Station, suddenly began to press forward and hostilities began in earnest at dawn on the 5th. The enemy, which had taken up a position and were superior in number to us, made an enveloping attack upon the detachment, which soon found itself in a most difficult situation.

"Each report which reached us successively brought unfavorable news of the progress of the bettle.

"One after another our reinforcements reached the firing line after the daybreak of the 6th. It took them from 25 to 30 hours to reach there. The enemy at first made light of our forces, which were much inferior in number to the enemy, and repeatedly made heavy attacks upon us. But with the arrival of our reinforcements they were compelled to fall back with heavy losses.

"VII. BATTLE NEAR ANGANGCHI

"The strength of our Army, massed near Tahsing before November 1, was 5 infantry battalions, 5 artillery companies, 1 engineer company, and 2 flying companies. It would have been very easy for us to take Tsitsihar, the capital of the province, with these forces. The soldiers in the first line wanted to carry it out, but they were ordered to stand by near Tahsing. The only reason for this was the policy of localization of the affair and peaceful settlement of the issue.

"Our peaceful attitude, however, caused the enemy to make light of our army. Soon some enemy troops were stationed at the Hsi ohsingtum-Sanchienfang area, which was situated on the south of the East China Railway I ine, and the main force was concentrated near Angangchi. They spread propaganda

that the Japanese forces had been defeated and their attitude was getting more and more arrogant and defiant.

"On the other hand, the enemy at Chinchou also gradually assumed activity in cooperation with the Heilungkiang Army. About 10,000 armed bandits instigated by the enemy, crossed the Liao River, threatening to come down to the South Manchurian Reilway line.

"Me Chanshan declared that he would have a decisive battle with the Japanese Army. He concentrated about 20,000 troops, including the Heilungkiang Army (T. N. Not Clear) in the area between Tsitsihar and Angangchi before November 10. His cavalry took a position so as to encircle us on the right hand. Thus the movement of the enemy troops became remarkably active. The situation was so keen that hostilities might be opened at any moment by a challenge on the part of the enemy.

"The Kwantung Army made a report to the central authorities at Tokyo on the tense situation, and was prepared both for war and peace, gradually concentrating the main force of the Army (mainly including the 2nd Division) near Tahsing.

"Even at this time HONJO, the Commander,

did not abandon the idea of peaceful settlement, and he demanded that Mr Chan-shan finally reflect, giving him the following conditions on the 14th: "(a) to withdraw his forces north of the Chinese Eastern Railway and not to send them south of it again. "(b) not to check the traffic of the Taonan-Angengchi Railway. "(c) the Japanese forces will withdraw south of Chengkiatun efter ascertaining that the above two conditions are being carried out.

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"Ma Chan-shan, however, not only took no notice of those conditions, but also destroyed the Taonan-Angangchi Railway, thus disturbing the Japanese forces in the rear and became more and more challenging.

"In such a critical condition the 4th Mixed Brigade and some aid support were coming to us from Japan and they were expected to reach the battlefield about the 22nd. Therefore, we were making preparations to deliver a blow after uniting those forces, but on the 16th and 17th the enemy threatened us in the right rear with numerically superior cavalry and the enemy in front also became more active, so that the 2nd Division confronted them with small strength and occupied Tsitsihar at one blow. Of course our plan was to withdraw our forces as soon as possible if our object was attained; in accordance with that plan we sent no troops into Tsitsihar, stationing our main force at Nantaying and a part at Peitaying and chiefly entrusted China with the preservation of peace. About the 18th it snowed and it was bitterly cold; nevertheless, the heating apparatus was entirely destroyed in the barracks and clothes, etc. had not arrived as yet. Thus the Japanese officers and men had bitter experiences beyond description. The fact

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that in this battle no less than 1,000 soldiers were
 frostbitten among 1,400 who were killed and wounded
 shows what hardships they underwent.
                   THE DESPATCH OF JAPANESE FORCES TO
           "VIII.
 CHINCHOU.
           "The Chinese forces at and around Chinchou,
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7 cooperating with the Ma Chan-shan's forces in the
8 Heilungkiang Province, played an active part about
9 the beginning of November. They advanced a corps
10 to the neighbourhood of Tahushan and Koupangtzu
11 and drove forward a newly organized volunteer force,
12 a detached one, east of the Li River; consequently
13 the disturbance of public peace along the South
14 Manchurian Railway became systematic and large scale.
  We were exhausted with ceaseless activity as if we
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  were continually driving away summer flies. The
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  conclusion was that so long as we did not capture the
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  base of enemy operations near Chinchow, we would
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  find it difficult to preserve peace in our district.
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           "In the middle of December the positions near
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  Chinchow were made stronger and the Chinese strength which
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  gathered in the vicinity of the city reached 35,000
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  and the number of volunteer bandits, detached forces,
  incited by the Chinese forces reached from 50,000 to
  60,000; disturbances plotted east of the Liao River
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gave direct threats to the South Manchurian Railway and the bandits along the Antung-Fengtien Railway appeared to be cooperating. The destruction of railroad and stoppages of traffic occurred in rapid succession. Though we adopted the policy of localizing the affair, the situation became so serious that we could not tolerate the Chinese insults any longer, and from the point of view of securing the self-defense of the Japanese army and the welfare of the Japanese residents in Manchuria and Mongolia it was a pressing need to capture the base of their opera-The Kwantung Army reported the above situation to the central authorities of the army. Japanese Government took measures to make the League of Nations have an ample understanding of this situation and reserved the right to suppress the bandits. Then as the bandits in Liaosi were clearly supported by the Chinese regular forces, their suppression made it inevitable that we should have an encounter with the Chinchow force. The Japanese Government, therefore, issued necessary statements and opened negotiations in Nanking, Peiping and other places demanding the withdrawal of the Chang Hsueh-liang force from the inside of the V 11. But the latter's

activity became such that by permission of the central

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authorities of the army the 2nd Division advanced along the Yingkow branch-line starting from the line of the Liao River on December 28th in order to drive away the enemy from the neighbourhood of Tienchuangtai and Panshan which was the strongest base of operations of the volunteer force, a detached one, in Liaosi. Just as we had expected, near Tienchuangtai the Chinese regular forces reinforced the volunteers with armoured trains and in Panshan the bandits mixed with powerful regular forces made a night attack upon us; in other places we had encounter with such mixed forces. Therefore, HONJO, the Commander, made up his mind and from the 30th on he began to suppress the enemy along the Fengtien-Shanhaikwan Railway, but the enemy unexpectedly retreated without fighting. The consequence was that Chinchow and district was in a state of anarchy for a while and the peace was disturbed so that the 2nd Division was moved there and the other main force was withdrawn to the South Manchurian 21 Railway. "IX. THE DESPATCH OF TROOPS TO HARBIN "His Hsia, acting-chief of Kirin Province,

took the lead of the other provinces in the estab-

lishment of a new regime late in September of 1931,

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1 and broke off relations with Chang Hsueh-liang and 2 Chang Tso-hsiang, while the military caste of the Chang Tso-hsiang faction betook themselves to Harbin and its south-eastern vicinity and established a regime in Pinhsen (about sixty kilometres north-east of Harbin) in opposition to this move. (Hsi Hsia's regime will be called the 'Kirin Regime', the other the 'Anti-Kirin Regime' in the following.) "The Kirin Regime, seeing that there was 9 no way to solve the matter except by force, set 10 about forming new forces, and their strength was 11 computed at nine thousand towards December of that 12 year. Hsi Hsia left the neighbourhood of Kirin on 13 January 5 of the 7th year of Showa (1932), and 14 15 started on a suppression mission. Pressing the 16 Anti-Kirin forces he reached the suburbs of Harbin, 17 and a battle between the two main forces took place 18 from Jan. 27. About that time some quarters of the 19 Anti-Kirin forces entered Harbin and began to 20 pillage, so the whole city was thrown into an uproar, 21 and the inhabitants filled with alarm. About 5,500 22 Japanese residents (4,000 Japanese and 1,500 Koreans) 23 were there. They urgently demanded that troops be 24 despatched. Though the Kwantung Army also foresaw 25 the aggravation of the situation, it kept observing

the situation impartially and carefully, for it
was not desirable to be involved in a conflict between Chinese factions. But it was reported on
the night of Jan. 27 that four Japanese had been
killed and many Koreans arrested. Furthermore,
Captain SHIMIZU, a pilot of a Japanese aeroplane,
was murdered by the Anti-Kirin Army when he made
a forced landing in the suburbs of Harbin while
he was reconnoitering the city on the same day,
so that the Army decided to dispatch two infantry
battalions in order to protect the residents,
having communicated with the central military authorities and having given both of the two forces notice
that there was no intention but that of protecting
the Japanese residents."

THE PRESIDENT? We will recess for fifteen minutes.

(Whereupon, at 1445, a recess was taken until 1500, after which the proceedings were resumed as follows:)

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MARSHAL OF THE COURT: The International Military Tribunal for the Far East is now resumed. THE PRESIDENT: Mr. BANNO.

MR. BANNO: I shall continue to read.

"Furthermore it was reported that the Anti-Kilin Army was ill-disposed toward us at that time, so the Kwantung Army feared that unexpected accidents would occur due to the independent advance of small units. And indeed some two thousand Anti-Kilin troops set for shuangcheng before dawn of January 31, and there broke out a confused fight. At this report also the Kwantung Army was very alarmed, for the four available locomotives were all at thuangcheng and there was no means of reinforcement. Commander HONJO specially, would have suffered in his mind, because we would not have been able to force, although knowing of its critical situation. For the above mentioned reasons it proved to be dangerous to small units to advance solely, by so the main force of the 2nd Division was added to the two battalions.

"Reaching Harbin on February 4, the 2nd Division was challenged by the Anti-Kilin force which had occupied a position near there and was compelled to fight back, then entered Harbin on the 5th.

"Of course Commander HONJO troubled himself

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with the policy of localizing the affair and of withdrawing the 2nd Division promptly to Nanp'u district in order to avoid the occurrance of useless trouble with soviet Russia, because Harbin heavily involved her rights and interests. But once a severe battle with the Anti-Kilin Army had been fought, unrest could not be dispelled easily, and the division also could not evacuate there immediately."

I have only one question to ask -- one supplementary question to ask the witness concerning this affidavit.

Q In your affidavit you have testified that on the 18th of september Colonel ITAGAKI gave necessary directions to the garrison commander -- commander of the garrison in Mukden, Colonel HIRATA and Lieutenant Commander -- Second Lieutenant Commander, Second Independent Battalion. Now, what do you mean by the word "directions" as you used it in this affidavit?

THE MONITOR: second Independent Guard Battalion commander, SHIMAMOTO.

THE PRESIDENT: Directions means directions; it has no other meaning.

Q Then would you explain the functions and duties of staff officers and the Commander of the unit?

MR. CARR: I object to that question, your

Honor. This is an attempt to get the witness to explain away a part of his affidavit which happens to be inconvenient in view of what happened this morning. THE PRESIDENT: We do not want the assistance of the witness on that point. MR. BANNO: This concludes direct examination. Prosecution may cross-examine the witness. MR. COMYNS CARR: I have a few questions, your Honor. THE PRESIDENT: Mr. Comyns Carr.

CROSS-EXAMINATION

BY MR. COMYNS CARR:

Q General TAKEDA, as I understand it, according to you, every advance that was made by the Kwantung Army into Manchuria was against their will. Am I right?

A As I understood the question it was said that contrary to the intentions of the Kwantung Army. Am I right?

Q You can put it that way if you like.

A As I understood the question it was that every advance was taken contrary to the intentions or against the will of the Kwantung Army. There was no such. I should like to inquire of the prosecutor what points in my affidavit referred to portions that the actions were taken against the will or contrary to the intentions of the Kwantung Army. What led you to believe that actions that were taken by the Kwantung Army were against its own will?

Q Have you not, as you describe each advance in this long affidavit, stated that it was not the intention of the Kwantung Army to advance any further but they were obliged to do so because of something that was done by the Chinese in the locality?

A Yes, that is true.

Now let me present you with a rather different Q picture and see what you say about it. You know General DOHIHARA?

A Yes, I do.

Do you know the Japanese Consul General KUWAJIMA in Tientsin?

I have heard of him by name but I haven't met him directly.

Now just listen to his report on the advances on Chinchou about which you have been telling us, made on the 17th of November 1931. It is prosecution exhibit No. 300. I will just read one passage of it:

"For this matter he repelled all intervention and remonstrance; and at times, knowingly that it was against the national policy, he would resort to all sorts of plots under the secret support of influential politicians with determination that it was unavoidable for him to take free activities from the standpoint of the Kwentung Army."

IR. BANNO: I object to that. I object on the ground that the question which the prosecutor is putting to the witness now is outside the scope of the direct examination and also irrelevant.

THE PRESIDENT: It is well within the scope. The objection is overruled.

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Q (Continuing) He goes on: "And without regard to means, he finally caused a riot to occur on the 8th, but when he saw that it ended in a feilure due to miscarriage of the plan, he took the opportunity of the riot throughout the city and carried out resolutely the Emperor's passage to Manchuria." And a little further on in the same report Consul General KUWAJIMA says with regard to a Chinaman by the name of Li Chi Chun: "He (that is, DOHIHARA) supplied them with 50,000 taels as working funds, and using two or three men of the garrison troops, let them secretly provide Li with armaments sent by the Kwantung Army."

Now, isn't the fact that in each case where you say that the Kwantung Army intervened because there were rival armies fighting one another one or other of those armies was being financed and supplied with arms by the Kwantung Army through DOHIHARA?

A These matters have to do with matters which are outside the scope of my knowledge, having occurred in Tientsin. Therefore, I know nothing of them.

Q Then let me give you an example, a case you speak of in your affidavit on page 17. You say -- middle of page 17, English translation: "Chang Haipeng, the Commander of the Border Garrison to Taonan-Liaosi Districts, seemingly with the intention of

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expanding his influence toward the north at this opportune time, made a declaration of independence on October 10, and set forth to advance into Heilungkiang Province." Now, do you know Mr. HAYASHI, Consul General at Mukden?

A Yes, I do.

Q You do. Do you know that on the 13th of October, that is three days after the day you name, he reported to the Foreign Minister SHIDEHARA in Tokyo: "Chang Haipeng received 5,000 rifles and \$200,000 from the Japanese in support of this declaration of independence"?

MR. BANNO: I should like to know the number of the document being referred to by the prosecutor as the telegram sent by Consul General HAYASHI.

MR. COMYNS CARR: This document has not yet been exhibited because we didn't know this witness was going to give evidence about Chang Haipeng, but its document number is 1767.

A As far as I know I believe that no such thing occurred. I assume that Consul General HAYASHI's telegram is very emotional and had a tendency to make exaggerations.

Q You think there were only 4,000 rifles?

A No, I am not referring to numbers. I believe

that the Kwantung Army would not adopt such a measure.

Q Well, then, you don't mean it is an exaggeration. You mean it is a lie, do you?

A I don't mean to say definitely that it is a lie, but as far as I know I don't think that such a thing happened.

Q Now, Consul General HAYASHI goes on to say:
"The same Chang Haipeng receiving the Japanese aid
did increase his troops from 3,000 to 5,000 and marched
on Tsitsihar in northern Manchuria. He was also
prepared to support Pu-Yi's restoration." What do
you say to that?

A As I said before, this Chang Haipeng, the independence proclamation that he made, I believe that it was something done by himself personally from his own standpoint.

Q Done by whom? DOHIHARA?

A As far as I know no member of the Kwantung Army was connected with this independence proclamation.

MR. MATTICE: If your Honor please.

THE PRESIDENT: Mr. Mattice.

MR. MATTICE: May I interpose an objection here to the practice of counsel which amounts to giving evidence? He is reading from a document which, as I understand it, is not in evidence. It ought not to

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be read from even in the way of a basis for a ques-tion. It seems to me it is improper and I object to it for that reason. THE PRESIDENT: Mr. Comyns Carr. MR. COMYNS CARR: Your Honor, I understand that the document has not yet been made an exhibit but in view of this evidence it will, in due course, be tendered and I am giving the witness the opportunity of dealing with it.

Eder & Greenberg

THE PRESIDENT: That raises a big question, whether you can tender any further evidence except a document admitted by a witness on cross-examination. We have no opinion one way or the other on that, Mr. Carr; but, would you care to have that point argued now?

MR. COMYNS CARR: If your Honor pleases, I am quite prepared to.

Your Honor, in our submission, the right of the prosecution to tender evidence in a proper case in rebuttal is an elementary right which does not need specifically stating in a document such as the Charter of this Tribunal. The Charter does not purport to set out a complete code of procedure but only to deal with matters where it is intended that the course of procedure normal in the tribunals of most countries shall be departed from.

Charter to the right of the accused to submit motions to dismiss the case at the end of the case for the prosecution. Nevertheless, there being nothing to the contrary, the Tribunal, following normal procedure, allowed it to be done. In the same way, I can find nothing in the Charter indicating that evidence in rebuttal is excluded; and, therefore, in my sub-

mission, it is a matter of normal procedure which is not mentioned because the framers of the Charter did not desire to introduce any special or abnormal procedure on that point, and, in my submission, it is unmentioned for no other reason.

The only words in the Charter which, in my submission, have any bearing on the question are the well known words in Article 13a that "the Tribunal shall adopt and apply to the greatest extent expeditious and non-technical procedure." It would, in my submission, be highly technical if the Tribunal were precluded from taking into consideration evidence which was not given by the prosecution in the first instance either because it was not at that time discovered or because the prosecution did not attach any importance to the matter until it was brought up by the defense. The latter, I think, is the reason why this particular document was not introduced in the first place.

That is all I desire to say on the point about rebuttal, your Honor.

THE PRESIDENT: Colonel Warren.

MR. WARREN: If the Tribunal please, at this time the objection lodged goes to questioning a witness concerning a document which is not in

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evidence.

THE PRESIDENT: You can always cross-examine on evidence that you propose to give, if you can give it. The only question is whether this evidence that the prosecution intend to give can be given. If it is excluded, it must be by Article 15 of the Charter which does not advert to the point. Article 15 says, "The prosecution or the defense may offer evidence," but it does not say the prosecution must offer all their evidence at the same time before the defense offers any.

MR. WARREN: Your Honor, it appears to me that there will be more than just those questions raised. Of course, there is the scope of the cross-examination, which we have been limited to, within the confines of the affidavit of the witness. I have never understood a rule of evidence which would permit the cross-examination of a witness on a document the relevancy and competency of which have not first been determined.

THE PRESIDENT: Do not commit yourself to that statement, Colonel Warren. It would mean that the defendant, in the course of the prosecution's case, could never cross-examine on the document the defense propose to use. It might be done by the

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defense with a view to obviating the need to call evidence. It is frequently done in British courts and, I am sure, in America.

MR. WARREN: There does seem to be some difference, your Honor, in the ruling, perhaps. However, I am not familiar with the British rule on that. Ordinarily, if a witness is to be examined on a document, the document is first given to the witness, and he identifies it. He states as to whether he has or has not seen it and knows what it is and its contents.

THE PRESIDENT: The main purpose of a crossexamination is to put to the opposing witness your evidence to see whether he accepts or rejects it.

MR. WARREN: There does seem to be some difference, your Honor. Whether it is a real, material difference, that I am not competent to say at this time. Ordinarily, we will present the witness with the document; and, if he is familiar with it, then we will proceed to cross-examine upon it.

THE PRESIDENT: I have said nothing to the contrary. You say you cross-examine only on the document the Court has admitted, and that is not correct.

MR. WARREN: Perhaps the Court misunderstands

me. What I am referring to is cross-examination upon facts which are not in evidence, upon presumptive facts that they intend to introduce at a later time.

 THE PRESIDENT: You can put to the appropriate witness facts which you intend to adduce, to see whether he accepts them or denies them. Not only can you do it, but you are expected to do it. Otherwise you leave yourself open to the charge of unfairness.

MR. WARREN: Quite, your Honor, but a witness may not be impeached on a collateral issue. Cross-examination is for the purpose of impeachment generally of the witness. Until the witness has first stated he is familiar with the document from which counsel is speaking, there could be no impeachment -- if he knew nothing about it.

THE PRESIDENT: You are jumping from facts to other testimony -- from documents to other testimony -- from other testimony to documents.

Now let us deal with them separately.

Take a document. The witness can not be confronted with a document unless he acknowledges its existence.

Once he does he can be cross-examined about it.

Take other testimony. That can be put to the witness to see whether he accepts it or rejects it.

If you don't put your case to him you are open to the charge of unfairness, if he testifies

about the same matters, as this witness is.

MR. WARREN: I now understand, your Honor.

I am sorry. I mis-interpreted the Court's remark.

I think we have been in accord all along.

THE PRESIDENT: But now what we are discussing is the meaning of Article 15 of the Charter, the meaning and effect.

Apparently the defense do not contest that you are entitled to give evidence in rebuttal.

MR. COMYNS CARR: I don't know if the Court proposes to rule on that now.

THE PRESIDENT: I wouldn't rule on that without consulting all the Members of the Court. I know what my own view is, but I don't know the views of the other Members of the Court.

We are of the opinion that evidence may be given in rebuttal by the prosecution.

MR. COMYNS CARR: Then, your Honor, I will ask the witness to answer my original question, which he had better be reminded of.

CROSS-EXAMINATION

BY MR. COMYNS CARR (Continued):

O Is it true that Chang Hai-peng, receiving Japanese aid, increased his troops from 3,000 to

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5,000, to march on Tsitsihar, in northern Manchuria? 1 The question that this Chang Hai-peng 2 received Japanese aid, I believe this is not true. 3 But the fact that the strength of the troops were increased is true, and it is also true that they 5 marched northward. 6 Is it also true, again I suggest because of Japanese aid that he had received, that he 8 announced himself as being ready to support Pu-Yi's 10 restoration? 11 This also is outside the scope of my 12 knowledge, but I have heard to the effect that he 13 had voluntarily risen to aid this movement to 14 restore Pu-Yi. 15 Do you know another HYASHI, a Major HYASHI, 16 who was in Manchuria at this time? 17 Yes, I do. 18 Did he, on the 6th of November 1931, 19 demand of the Heilunkiang government that General 20 Va Chan-shan should resign and Chang Hai-peng should 21 be appointed governor in his place? 22 I am not informed of what Major HYASHI had 23

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done.

O Did General HONJO, on November 11, demand by telegram General Na Chan-shan's resignation? A This also is outside the scope of my knowledge. In view of the fact that I was an operational officer, matters of such nature were duties to be settled by the liaison officers; therefore, I am not informed of this matter.

Q J am not surprised, but if that is the

Q Jam not surprised, but if that is the case how is it that throughout your affidavit you profess to be able to tell us not only telegrams sent to and received by -- sent and received by General HONJO, but also what was in his mind at various times? How'd you know that?

A Of course, it is needless to say that General HONJO was very desirous of reaching a peaceful settlement and that he did not desire by any means the dispatch of troops to north Manchuria, and I know that it was his policy.

Q Well, if it is needless to say it and also you don't know anything about it, why do you say it?

A Matters of this nature were not fully known by those in charge of operations.

THE PRESIDENT: We will adjourn until halfpast nine tomorrow morning.

(Whereupon, at 1600, an adjournment was taken until Friday, 4 April 1947, at 0930.)

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